

STIC Database Tracking Number: 248615

To: KIRSTEN APPLE
Location: KNX-5A78
Art Unit: 3694
Tuesday, January 22, 2008 ,

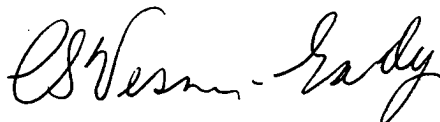
Case Serial Number: 09/945184

From: CARYN WESNER-EARLY
Location: EIC3600
KNX-4B68 / KNX-4C29
Phone: (571)272-3543

caryn.wesner-early@uspto.gov

Search Notes

If a modification or re-focus of this search is needed, please let me know.



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ASRC Technical Information Specialist
EIC 3600, US Patent & Trademark Office
Phone: (571) 272-3543
Fax: (571) 273-0046
caryn.wesner-early@uspto.gov



48

RUSH

248615

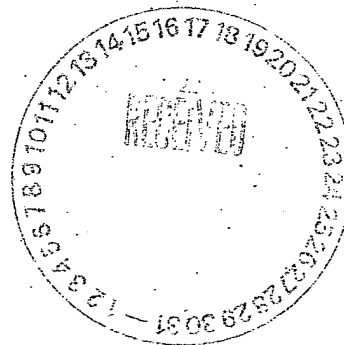
STIC EIC 3600 Search Request Form

Today's Date: 1/9/08 Class/Subclass 715/738 What date would you like to use to limit the search? 10/16/98
1/9/08 705/36R 345/738, 1700 Priority Date: 10/16/98 Other:

Name Kirsten Apple
AU 3694 Examiner # 81241
Room # 5A78 Phone 2-5588
Serial # 09-945-184
Format for Search Results (Circle One): PAPER DISK EMAIL in
Where have you searched so far? Both
USP DWPI EPO JPO ACM IBM TDB
IEEE INSPEC SPI Other

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.
Timothy or Knight

Need full search for allowance.
stock trading system
g09g g06f with
g06g subjective input information
Please rush
John Hayes
SPE, 3625



STIC Searcher _____ Phone _____
Date picked up _____ Date Completed _____





STIC Search Results Feedback Form

EIC 3600

Questions about the scope or the results of the search? Contact *the EIC searcher or contact:*

Karen Lehman, EIC 3600 Team Leader
(571) 272-3496 Knox 4B68

Voluntary Results Feedback Form

➤ I am an examiner in Workgroup: Example: 3620 (optional)

➤ Relevant prior art **found**, search results used as follows:

- ☐ 102 rejection
- ☐ 103 rejection
- ☐ Cited as being of interest.
- ☐ Helped examiner better understand the invention.
- ☐ Helped examiner better understand the state of the art in their technology.

Types of relevant prior art found:

- ☐ Foreign Patent(s)
- ☐ Non-Patent Literature
(journal articles, conference proceedings, new product announcements etc.)

➤ Relevant prior art **not found**:

- ☐ Results verified the lack of relevant prior art (helped determine patentability).
- ☐ Results were not useful in determining patentability or understanding the invention.

Comments:

Drop off or send completed forms to EIC3600 Knox 4B68



? show files;ds

File 347:JAPIO Dec 1976-2007/Sep(Updated 080116)

(c) 2008 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2007/ 200802

(c) 2008 European Patent Office

File 349:PCT FULLTEXT 1979-2008/UB=20080117UT=20080110

(c) 2008 WIPO/Thomson

File 350:Derwent WPIX 1963-2008/UD=200805

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File 371:French Patents 1961-2002/BOPI 200209

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File 120:U.S. Copyrights 1978-2007/Sep 11

(c) format only 2007 Dialog

File 426:LCMARC-Books 1968-2008/Dec W5

(c) format only 2008 Dialog

File 430:British Books in Print 2007/Jan W3

(c) 2007 J. Whitaker & Sons Ltd.

File 2:INSPEC 1898-2008/Dec W4

(c) 2008 Institution of Electrical Engineers

File 35:Disseration Abs Online 1861-2007/Oct

(c) 2007 ProQuest Info&Learning

File 65:Inside Conferences 1993-2008/Jan 18

(c) 2008 BLDSC all rts. reserv.

File 99:Wilson Appl. Sci & Tech Abs 1983-2007/Nov

(c) 2007 The HW Wilson Co.

File 474:New York Times Abs 1969-2008/Jan 21

(c) 2008 The New York Times

File 475:Wall Street Journal Abs 1973-2008/Jan 22

(c) 2008 The New York Times

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13

(c) 2002 The Gale Group

File 256:TecInfoSource 82-2008/Oct

(c) 2008 Info.Sources Inc

File 1:ERIC 1965-2007/Nov

(c) format only 2007 Dialog

File 7:Social SciSearch(R) 1972-2008/Jan W2

(c) 2008 The Thomson Corp

File 11:PsycINFO(R) 1887-2008/Jan W2

(c) 2008 Amer. Psychological Assn.

File 139:EconLit 1969-2008/Jan

(c) 2008 American Economic Association

File 6:NTIS 1964-2008/Jan W4

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File 8:Ei Compendex(R) 1884-2008/Jan W2

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File 34:SciSearch(R) Cited Ref Sci 1990-2008/Jan W2

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File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec

(c) 2006 The Thomson Corp

File 144:Pascal 1973-2008/Jan W2

(c) 2008 INIST/CNRS

File 9:Business & Industry(R) Jul/1994-2008/Jan 18

(c) 2008 The Gale Group

File 15:ABI/Inform(R) 1971-2008/Jan 19

(c) 2008 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2008/Jan 11

(c) 2008 The Gale Group

File 20:Dialog Global Reporter 1997-2008/Jan 21

(c) 2008 Dialog

File 148:Gale Group Trade & Industry DB 1976-2008/Jan 07

(c)2008 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2008/Jan 17

(c) 2008 The Gale Group

File 476:Financial Times Fulltext 1982-2008/Jan 20

(c) 2008 Financial Times Ltd

File 610:Business Wire 1999-2008/Jan 22

(c) 2008 Business Wire.

File 613:PR Newswire 1999-2008/Jan 22

(c) 2008 PR Newswire Association Inc

File 621:Gale Group New Prod.Annou.(R) 1985-2008/Jan 07

(c) 2008 The Gale Group

File 624:McGraw-Hill Publications 1985-2008/Jan 18

(c) 2008 McGraw-Hill Co. Inc
 File 634:San Jose Mercury Jun 1985-2008/Jan 20
 (c) 2008 San Jose Mercury News
 File 636:Gale Group Newsletter DB(TM) 1987-2008/Jan 21
 (c) 2008 The Gale Group
 File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire
 File 813:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc
 File 267:Finance & Banking Newsletters 2008/Jan 14
 (c) 2008 Dialog
 File 268:Banking Info Source 1981-2008/Jan W2
 (c) 2008 ProQuest Info&Learning
 File 625:American Banker Publications 1981-2008/Jan 22
 (c) 2008 American Banker
 File 635:Business Dateline(R) 1985-2008/Jan 19
 (c) 2008 ProQuest Info&Learning
 File 626:Bond Buyer Full Text 1981-2008/Jan 22
 (c) 2008 Bond Buyer
 File 47:Gale Group Magazine DB(TM) 1959-2008/Jan 14
 (c) 2008 The Gale group
 File 95:TEME-Technology & Management 1989-2008/Jan W2
 (c) 2008 FIZ TECHNIK
 File 98:General Sci Abs 1984-2007/Dec
 (c) 2007 The HW Wilson Co.
 File 369:New Scientist 1994-2007/Sep W4
 (c) 2007 Reed Business Information Ltd.
 File 370:Science 1996-1999/Jul W3
 (c) 1999 AAAS
 File 483:Newspaper Abs Daily 1986-2008/Jan 20
 (c) 2008 ProQuest Info&Learning
 File 484:Periodical Abs Plustext 1986-2008/Jan W1
 (c) 2008 ProQuest
 File 553:Wilson Bus. Abs. 1982-2008/Jan
 (c) 2008 The HW Wilson Co
 File 647:CMP Computer Fulltext 1988-2008/Jan W1
 (c) 2008 CMP Media, LLC
 File 674:Computer News Fulltext 1989-2006/Sep W1
 (c) 2006 IDG Communications

Set	Items	Description
S1	2823	AU=(KNIGHT T? OR KNIGHT, T?)
S2	167	S1 FROM 347,348,349,350,371
S3	59	S2 AND IC=(G09G OR G06F OR G06Q)
S4	9	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S5	6	S3 AND S4
S6	6	IDPAT (sorted in duplicate/non-duplicate order)
S7	6	IDPAT (primary/non-duplicate records only)
S8	2656	S1 NOT S2
S9	3	S4 AND S8
S10	278	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT() (END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL) ()REPRESENTATION
S11	207	S8 AND S10
S12	11	S11(S) (SUBJECTIVE() (INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL() IMPRESSIONS OR RATIONALE OR RATIONALES OR LOGIC OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES)
S13	14	S9 OR S12
S14	5	S13 NOT PY>1998
S15	5	S14 NOT PD=19981017:20080229
S16	4	RD (unique items)
S17	10	S7 OR S16

17/3,K/1 (Item 1 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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01114327 **Image available**

ELECTRONIC INTERFACE CONFIGURED FOR DISPLAYING & IDENTIFYING MIXED TYPES OF INFORMATION

INTERFACE ELECTRONIQUE CONCUE DE MANIERE A AFFICHER ET A IDENTIFIER DES TYPES D'INFORMATIONS MIXTES

Patent Applicant/Assignee:

PROPHET FINANCIAL SYSTEMS INC, 115 Everett Avenue, Palo Alto, CA 94301,
US, US (Residence), US (Nationality), (For all designated states
except: US)

Patent Applicant/Inventor:

KNIGHT Timothy , 1171 Fife Ave., Palo Alto, CA 94301, US, US (Residence)
, US (Nationality), (Designated only for: US)

Legal Representative:

GROSS John Nicholas (agent), 726 Duboce Ave., San Francisco, CA 94117, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200436725 A2-A3 20040429 (WO 0436725)

Application: WO 2003US32568 20031015 (PCT/WO US03032568)

Priority Application: US 2002271020 20021015

Parent Application/Grant:

Related by Continuation to: US 2002271020 20021015 (CON)

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SK
SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 4363

Patent Applicant/Inventor:

KNIGHT Timothy ...

Main International Patent Class (v7): G06F-017/60

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... Accordingly, a variety of computing platforms are now used to access
the Internet to monitor securities trading , including desk tops, lap
tops, personal digital appliances (PDAs), cell phones, and the like.

At...

...varies from exchange to exchange. Thus, for example, on most sites, to
see quotes for stocks trading on the NASDAQ trading system, one must
usually put up with a 15-minute delay...

...whether the trading information displayed is real-time, delayed, or
end-of-day;

(2) a stock trading symbol 120 (in this case AMZN); (3) a stock name
125

(Amazon.com); (4) and...

...in other domains where it is useful to identify such information about
an item. In stock trading applications, for example, it is often
useful to know when examining one's trading activities whether...

...similar visual indicator could be used for such designations as well.

In addition, in other **stock trading** applications, it may be desirable to distinguish one type of quote from another through another...

Claim

... viewing a World Wide Web (VW'WW) page to identify a time-based characteristic of **securities trading** information, the interface comprising:
a first display area containing a plurality of separate data fields...

...quote, or a workout quote.

13 A method of displaying a time-based characteristic of **securities trading** information on a World Wide Web (WWM) page for a tradable security, the method comprising...

17/3,K/2 (Item 2 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00560499 **Image available**
GRAPHICAL DATA COLLECTION INTERFACE
INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Patent Applicant/Assignee:

PROPHET FINANCIAL SYSTEMS INC,
KNIGHT Timothy O,

Inventor(s):

KNIGHT Timothy O ,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200023872 A1 20000427 (WO 0023872)
Application: WO 99US24369 19991018 (PCT/WO US9924369)
Priority Application: US 98173853 19981016

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

CA CN DE GB JP MX RU US AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES
FI FR GB GR IE IT LU MC NL PT SE

Publication Language: English

Fulltext Word Count: 15268

Inventor(s):

KNIGHT Timothy O ...

Main International Patent Class (v7): G06F-003/14

Fulltext Availability:

Detailed Description

English Abstract

...transaction. In a preferred embodiment, the present interface is used in connection with a stock **portfolio management** application, which is used to capture user rationale and logic for **purchasing** or **selling financial** instruments such as securities, options, etc.

Detailed Description

... and assertions presented in menu 205 are those factors commonly associated with actions or transactions (**buying** or **selling**) **securities** or options. These include such factors as technical charting indicators, specific events that may affect...

...Rounded Top," all of which are commonly understood technical analysis tools in the field of **financial instrument trading** . It should be apparent that such category and sub-categories are merely illustrative of the...

...parameters 230, 231 selected by a user from menu 205 as motivating his/her to **purchase** a particular **stock**. In this instance, such user has identified certain assertions as the basis for his/her...user's particular rationale for a transaction) and resulting gain or loss from such particular **stock purchase** or **sale** transactions.

It should be noted that in addition to the vertical placement component value retained...as illustrated generally in FIG. 2. As a simple example, in the case of a **financial trading** context, the user could request a comparison chart in feedback window 260 illustrating the overall...

...Dividend," and the "Company has good products" (or some other reasons) as reasons for their **purchasing** a particular **financial** instrument. The overall average could be plotted as well as a separate graph 262 as ...objectivity when participating in such actions/transactions. As an example, in the case of a **financial trading** context, parameters 205 can list such options as "sold too early," "sold too late," cc...

17/3,K/6 (Item 4 from file: 350)
 DIALOG(R)File 350:Derwent WPIX
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0010059361
 WPI ACC NO: 2000-364927/200031
 XRPX Acc No: N2000-273114
Graphical user data collection interface for use with computer program, has parameter canvas and menu simultaneously visible to user for identifying data parameter associated with subjective data information
 Patent Assignee: KNIGHT T O (KNIG-I); PROPHET FINANCIAL SYSTEMS (PROP-N);
 PROPHET FINANCIAL SYSTEMS INC (PROP-N); MAQUIS TECHTRIX LLC (MAQU-N)
 Inventor: **KNIGHT T O**

Patent Family (6 patents, 24 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2000023872	A1	20000427	WO 1999US24369	A	19991018	200031 B
US 6313833	B1	20011106	US 1998173853	A	19981016	200170 E
US 20020030701	A1	20020314	US 1998173853	A	19981016	200222 E
			US 2001945099	A	20010831	
US 20020054111	A1	20020509	US 1998173853	A	19981016	200235 E
			US 2001944624	A	20010831	
US 20040012624	A1	20040122	US 1998173853	A	19981016	200407 E
			US 2001945184	A	20010831	
US 7216299	B2	20070508	US 1998173853	A	19981016	200731 E
			US 2001944624	A	20010831	

Priority Applications (no., kind, date): US 1998173853 A 19981016; US 2001944624 A 20010831; US 2001945099 A 20010831; US 2001945184 A 20010831

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2000023872	A1	EN	82	2	
National Designated States,Original: CA CN DE GB JP MX RU US					
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GR					
IE IT LU MC NL PT SE					
US 20020030701	A1	EN			Division of application US 1998173853
					Division of patent US 6313833
US 20020054111	A1	EN			Division of application US 1998173853
US 20040012624	A1	EN			Continuation of application US 1998173853
					Continuation of patent US 6313833
US 7216299	B2	EN			Division of application US 1998173853
					Division of patent US 6313833

Original Titles:

... Stock trading application program

Inventor: KNIGHT T O

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06F-0015/00 ...

... G06F-0003/00 ...

... G06F-0003/033 ...

... G06F-0003/14 ...

... G06Q-0040/00 ...

... G09G-0005/00 ...

... G06F-0017/60 ...

... G06F-0003/00

G06F-0015/00 ...

... G06F-0003/00 ...

... G06F-0003/033 ...

... G06F-0003/14 ...

... G06Q-0040/00 ...

... G09G-0005/00 ...

... G06F-0003/00

Original Publication Data by Authority

Inventor name & address:

Knight, Timothy Orr ...

... Knight, Timothy Orr ...

... Knight, Timothy Orr ...

... Knight, Timothy Orr ...

... Knight, Timothy Orr ...

... KNIGHT, Timothy, O

Original Abstracts:

...transaction. In a preferred embodiment, the present interface is used in connection with a stock portfolio management application, where it is used to capture user rationale and logic for purchasing or selling financial instruments such as securities, options, etc...

...transaction. In a preferred embodiment, the present interface is used in connection with a stock portfolio management application, where it is used to capture user rationale and logic for purchasing or selling financial instruments such as securities, options, etc...

...transaction. In a preferred embodiment, the present interface is used in connection with a stock portfolio management application, where it is used to capture user rationale and logic for purchasing or selling financial instruments such as securities, options, etc...

...transaction. In a preferred embodiment, the present interface is used in connection with a stock portfolio management application, where it is

used to capture user rationale and logic for **purchasing** or **selling**
financial instruments such as securities, options, etc...

...a visual depiction or picture form. The interface is used in connection
with a stock **portfolio management** application, where it is used to
capture user rationale and logic for **purchasing** or **selling** **financial**
instruments such as securities, options, etc., and in other environments
where it is desirable to...

...transaction. In a preferred embodiment, the present interface is used in
connection with a stock **portfolio management** application, which is used
to capture user rationale and logic for **purchasing** or **selling**
financial instruments such as securities, options, etc...

17/AA,AN,AZ,TI/1 (Item 1 from file: 349)
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01114327

ELECTRONIC INTERFACE CONFIGURED FOR DISPLAYING & IDENTIFYING MIXED TYPES OF INFORMATION

INTERFACE ELECTRONIQUE CONCUE DE MANIERE A AFFICHER ET A IDENTIFIER DES TYPES D'INFORMATIONS MIXTES

Application: WO 2003US32568 20031015 (PCT/WO US03032568)

Parent Application/Grant:

Related by Continuation to: US 2002271020 20021015 (CON)

17/AA,AN,AZ,TI/2 (Item 2 from file: 349)
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00560499

GRAPHICAL DATA COLLECTION INTERFACE

INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Application: WO 99US24369 19991018 (PCT/WO US9924369)

17/AA,AN,AZ,TI/3 (Item 1 from file: 350)
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0014442240

WPI ACC NO: 2004-632840/

Message traffic tracking system for online bulletin board system, has window portions of user interface, for input of queries, viewable and selectable by subscriber when subscriber views messages

Original Titles:

Online content provider system and method

Local Applications (No Type Date): US 1999309712 A 19990511; US

2003370542 A 20030220

Priority Applications (no., kind, date): US 1999309712 A 19990511; US

2003370542 A 20030220

17/AA,AN,AZ,TI/4 (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0014190338

WPI ACC NO: 2004-375760/

Electronic interface e.g. internet browser for identifying time based characteristics e.g. real time of stock quote, has display area with visual indicators for visually indicating respective time based characteristics

Original Titles:

ELECTRONIC INTERFACE CONFIGURED FOR DISPLAYING AND IDENTIFYING MIXED TYPES OF INFORMATION

Electronic interface configured for displaying & identifying mixed types of information

ELECTRONIC INTERFACE CONFIGURED FOR DISPLAYING & IDENTIFYING MIXED TYPES OF INFORMATION

INTERFACE ELECTRONIQUE CONCUE DE MANIERE A AFFICHER ET A IDENTIFIER DES TYPES D'INFORMATIONS MIXTES

Local Applications (No Type Date): WO 2003US32568 A 20031015; AU

2003287088 A 20031015; US 2002271020 A 20021015; AU 2003287088 A

20031015

Priority Applications (no., kind, date): US 2002271020 A 20021015

17/AA,AN,AZ,TI/5 (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013340915

WPI ACC NO: 2003-428525/

Electronic interface for interacting with online message board, operates within internet browsing program to permit review of selected message entries from database based on user-defined search query

Original Titles:

User interface for interacting with online message board

Local Applications (No Type Date): US 1999309714 A 19990511

Priority Applications (no., kind, date): US 1999309714 A 19990511

17/AA,AN,AZ,TI/6 (Item 4 from file: 350)

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0010059361

WPI ACC NO: 2000-364927/

Graphical user data collection interface for use with computer program, has parameter canvas and menu simultaneously visible to user for identifying data parameter associated with subjective data information

Original Titles:

Interface and method adapted for capturing subjective preferences of program users

Interface and program using visual data arrangements for expressing user preferences concerning an action or transaction

Stock trading application program

Graphical data collection and retrieval interface.

Interface and program using visual data arrangements for expressing user preferences concerning an action or transaction

GRAPHICAL DATA COLLECTION INTERFACE

INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Local Applications (No Type Date): WO 1999US24369 A 19991018; US

1998173853 A 19981016; US 1998173853 A 19981016; US 2001945099 A

20010831; US 1998173853 A 19981016; US 2001944624 A 20010831; US

1998173853 A 19981016; US 2001945184 A 20010831; US 1998173853 A

19981016; US 2001944624 A 20010831

Priority Applications (no., kind, date): US 1998173853 A 19981016; US

2001944624 A 20010831; US 2001945099 A 20010831; US 2001945184 A

20010831

17/AA,AN,AZ,TI/7 (Item 1 from file: 2)

DIALOG(R)File 2:(c) 2008 Institution of Electrical Engineers. All rts. reserv.

07059746 INSPEC Abstract Number: C9812-4290-001

Title: Ultimate theoretical models on nanocomputers

17/AA,AN,AZ,TI/8 (Item 1 from file: 6)

DIALOG(R)File 6:(c) 2008 NTIS, Intl Cpyrght All Rights Res. All rts. reserv.

2109349 NTIS Accession Number: DE97053610/XAB

Virtual radiation fields for ALARA determination

(THESIS)

17/AA,AN,AZ,TI/9 (Item 2 from file: 6)

DIALOG(R)File 6:(c) 2008 NTIS, Intl Cpyrght All Rights Res. All rts. reserv.

1714919 NTIS Accession Number: AD-A260 171/4

Method for Skew-Free Distribution of Digital Signals Using Matched Variable Delay Lines

(Memorandum rept)

17/AA,AN,AZ,II/10 (Item 1 from file: 34)
DIALOG(R)File 34:(c) 2008 The Thomson Corp. All rts. reserv.

07126963

Title: Ultimate theoretical models of nanocomputers

? show files;ds

File 347:JAPIO Dec 1976-2007/Sep(Updated 080116)

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File 350:Derwent WPIX 1963-2008/UD=200805

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File 371:French Patents 1961-2002/BOPI 200209

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Set	Items	Description
S1	9300	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	278	SUBJECTIVE()(INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR LOGIC OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES
S3	3279	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT()(END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL)()REPRESENTATION
S4	15	S3(S)(S1(10N)S2)
S5	69	SUBJECTIVE()(INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES
S6	6	S3(S)(S1(10N)S5)
S7	25	S1 AND S3 AND S5
S8	21	S7 AND IC=(G06F OR G06Q OR G09G)
S9	21	S6 OR S8
S10	21	IDPAT (sorted in duplicate/non-duplicate order)
S11	21	IDPAT (primary/non-duplicate records only)

3

11/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0016340182 - Drawing available
WPI ACC NO: 2007-056351/200706
XRPX Acc No: N2007-039481

**Computer system, for analyzing financial instruments e.g. securities,
displays sentiment ratings for each financial entity**

Patent Assignee: AIM HOLDINGS LLC (AIMH-N)

Inventor: RADER J S

Patent Family (3 patents, 111 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2006113761	A2	20061026	WO 2006US14646	A	20060419	200706 B
US 20060242040	A1	20061026	US 2005110291	A	20050420	200706 E
WO 2006113761	A3	20071129				200780 E

Priority Applications (no., kind, date): US 2005110291 A 20050420

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2006113761	A2	EN	34	9	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BW
BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR
HU ID IL IN IS JP KE KG KM KN KP KR KZ LC LK LR LS LT LU LV LY MA MD MG
MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SM
SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG BW CH CY CZ DE DK EA EE ES
FI FR GB GH GM GR HU IE IS IT KE LS LT LU LV MC MW MZ NA NL OA PL PT RO
SD SE SI SK SL SZ TR TZ UG ZM ZW

WO 2006113761 A3 EN

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BW
BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR
HU ID IL IN IS JP KE KG KM KN KP KR KZ LC LK LR LS LT LU LV LY MA MD MG
MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SM
SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW

Regional Designated States,Original: AT BE BG BW CH CY CZ DE DK EA EE ES
FI FR GB GH GM GR HU IE IS IT KE LS LT LU LV MC MW MZ NA NL OA PL PT RO
SD SE SI SK SL SZ TR TZ UG ZM ZW

Alerting Abstract ...raw text data, and assigns a rating to the nature of
the sentiment. A user **interface** program controls the search engine and
sentiment analyzer and displays the sentiment rating....digital text data
analyzing method; and user **interface** system for sentiment analyzer...

...ADVANTAGE - The investor's ability to review and track **opinion**
information about securities is significantly increased, and the investor
is enabled to make a more informed decision regarding purchase and **sale**
of **securities** .

Title Terms.../Index Terms/Additional Words: **DISPLAY ;**

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06Q-0040/00 ...

G06Q-0040/00 ...

Original Publication Data by Authority

Original Abstracts:

...the one or more financial entities within the relevant raw textual data,
and a user **interface** program that controls the content mining search
agent and the sentiment analyzer and that displays...

...the one or more financial entities within the relevant raw textual data, and a user **interface** program that controls the content mining search agent and the sentiment analyzer and that displays...

...une ou plusieurs entites financieres dans les donnees textuelles brutes importantes, et un programme d' **interface** utilisateur qui commande l'agent de recherche d'extraction de contenu et l'analyseur de...

Claims:

...to the nature of the sentiment in the relevant raw textual data; anda user **interface** program stored on the computer readable memory and adapted to be executed on a processor to control the content mining search agent and the sentiment analyzer and to **display** the value of the nature of the sentiment with respect to the financial entity assigned...

11/3,K/7 (Item 7 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0013505031 - Drawing available
WPI ACC NO: 2003-597601/200356
XRPX Acc No: N2003-476300

Financial account management method involves determining amount of reward points associated with received financial account, based on reward incentive parameter and payment amount

Patent Assignee: BAKER D G (BAKE-I); BINDUMADHAVAN K (BIND-I); CANDEUB D A (CAND-I); CHANCELLOR L M (CHAN-I); CHANDAK S K (CHAN-I); JIULIANTI B (JIUL-I); MCSORLEY W J (MCSO-I)

Inventor: BAKER D G; BINDUMADHAVAN K; CANDEUB D A; CHANCELLOR L M; CHANDAK S K; JIULIANTI B; MCSORLEY W J

Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
US 20030105689	A1	20030605	US 2001996944	A	20011130	200356 B

Priority Applications (no., kind, date): US 2001996944 A 20011130

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
US 20030105689	A1	EN	13	4		

Alerting Abstract ...USE - For managing **financial transactions** and providing incentive **financial products** such as credit card products to the customer...

...ADVANTAGE - By providing reward incentives to the customer, effective **motivation** of the customer to make **timely** payments to the financial institution such as bank is enabled...

...DESCRIPTION OF DRAWINGS - The figure shows an explanatory **view** of the financial account managing **system** .

Class Codes

International Classification (+ Attributes)
IPC + Level Value Position Status Version

G06Q-0030/00 ...
G06Q-0030/00 ...

11/3,K/17 (Item 17 from file: 350)
DIALOG(R)File 350:Derwent WPIX
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0010743277 - Drawing available
WPI ACC NO: 2001-356085/200137
XRPX Acc No: N2001-258719

System for collecting security transaction sentiment data by recording a reason provided by an investor on a ballot for trading a security and storing the reason on a database

Patent Assignee: SHOCKMARKET CORP (SHOC-N)

Inventor: DEVARAJAN H; KIM H; MICHAELS D; STONE J; TOLANI M; TON H; YEN R

Patent Family (2 patents, 88 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2001039065	A1	20010531	WO 2000US26457	A	20000921	200137 B
AU 200114307	A	20010604	AU 200114307	A	20000921	200153 E

Priority Applications (no., kind, date): US 1999447478 A 19991123

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2001039065	A1	EN	61	18	
National Designated States,Original: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW					
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TZ UG ZW					
AU 200114307	A	EN			Based on OPI patent WO 2001039065

System for collecting security transaction sentiment data by recording a reason provided by an investor on a ballot for trading a security and storing the reason on a database

Alerting Abstract ...NOVELTY - Screens (20,22) are typically presented to a user when trading stock on an on-line brokerage site and, after logging on to the site and entering a password, the user may enter the type of stock, specific trade action and number of shares to be traded and the order is confirmed on screen (22) which has a submit button (21). A ballot screen (24) is used to collect sentiment data from the user directly after the trade and a summary screen (25) displays the reason for trading and the security traded....DESCRIPTION OF DRAWINGS - The drawing is a block diagram of the screens used to trade stock and collect and display reasons for trading...

...24 Ballot screen

...

...25 Summary screen

Title Terms.../Index Terms/Additional Words: REASON ;

Class Codes

International Classification (Main): G06F-017/60

Original Publication Data by Authority

Original Abstracts:

...relating to security trading (20). The method includes providing a ballot having a plurality of reasons for trading a security (24) to an investor after the investor has placed an order for the security (22). The ballot is configured for receiving electronic entries. The method further includes receiving a reason identified by the investor on the ballot for trading the security and storing the reason identified by the investor and the security traded in a database along with other sentiment data (25). A system...

0010059361

WPI ACC NO: 2000-364927/200031

XRPX Acc No: N2000-273114

**Graphical user data collection interface for use with computer program,
has parameter canvas and menu simultaneously visible to user for
identifying data parameter associated with subjective data information**

Patent Assignee: KNIGHT T O (KNIG-I); PROPHET FINANCIAL SYSTEMS (PROP-N);
PROPHET FINANCIAL SYSTEMS INC (PROP-N); MAQUIS TECHTRIX LLC (MAQU-N)

Inventor: KNIGHT T O

Patent Family (6 patents, 24 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
WO 2000023872	A1	20000427	WO 1999US24369	A	19991018	200031 B
US 6313833	B1	20011106	US 1998173853	A	19981016	200170 E
US 20020030701	A1	20020314	US 1998173853	A	19981016	200222 E
			US 2001945099	A	20010831	
US 20020054111	A1	20020509	US 1998173853	A	19981016	200235 E
			US 2001944624	A	20010831	
US 20040012624	A1	20040122	US 1998173853	A	19981016	200407 E
			US 2001945184	A	20010831	
US 7216299	B2	20070508	US 1998173853	A	19981016	200731 E
			US 2001944624	A	20010831	

Priority Applications (no., kind, date): US 1998173853 A 19981016; US
2001944624 A 20010831; US 2001945099 A 20010831; US 2001945184 A
20010831

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing	Notes
WO 2000023872	A1	EN	82	2		
National Designated States,Original: CA CN DE GB JP MX RU US						
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GR						
IE IT LU MC NL PT SE						
US 20020030701	A1	EN			Division of application	US 1998173853
					Division of patent	US 6313833
US 20020054111	A1	EN			Division of application	US 1998173853
US 20040012624	A1	EN			Continuation of application	US
1998173853						
					Continuation of patent	US 6313833
US 7216299	B2	EN			Division of application	US 1998173853
					Division of patent	US 6313833

**Graphical user data collection interface for use with computer program,
has parameter canvas and menu simultaneously visible to user for
identifying data parameter associated with subjective data information**

Original Titles:

Interface and method adapted for capturing subjective preferences of
program users...

... **Interface** and program using visual data arrangements for expressing
user preferences concerning an action or transaction...

... **Stock trading** application program...

...Graphical data collection and retrieval **interface** .

...

... **Interface** and program using visual data arrangements for expressing
user preferences concerning an action or transaction...

...GRAPHICAL DATA COLLECTION **INTERFACE**

...

... INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Alerting Abstract ...NOVELTY - The graphical user data collection **interface** (200) includes parameter menu (205) which provides a set of data parameters (220) associated with **subjective data** information, visible to the user. A parameter canvas (215) for identifying data parameter, is also...

USE - For use with computer program implemented for personal information assistance, consumer **opinion** gathering, operating system...

...ADVANTAGE - The **interface** is beneficially used for any application program to obtain accurate, reliable information efficiently.

Title Terms.../Index Terms/Additional Words: **INTERFACE** ;

Class Codes

International Classification (+ Attributes)

IPC + Level Value Position Status Version

G06F-0015/00 ...

... G06F-0003/00 ...

... G06F-0003/033 ...

... G06F-0003/14 ...

... G06Q-0040/00 ...

... G09G-0005/00 ...

... G06F-0017/60 ...

... G06F-0003/00

G06F-0015/00 ...

... G06F-0003/00 ...

... G06F-0003/033 ...

... G06F-0003/14 ...

... G06Q-0040/00 ...

... G09G-0005/00 ...

... G06F-0003/00

Original Publication Data by Authority

Original Abstracts:

A graphical user **interface** is provided for capturing application data in picture form. A set of data capture tools, including a **subjective data** parameter palette and accompanying data canvas, are presented to an operator during an interactive session...

...on the data canvas, a user can paint a data picture representing his/her subjective **motivations** , **mental impressions** , **reasons** , etc., for engaging in a particular transaction. In a preferred embodiment, the present **interface** is used in connection with a stock **portfolio management** application, where it is used to capture user **rationale** and logic for **purchasing** or **selling financial** instruments such as securities, options, etc...

...A graphical user **interface** is provided for capturing application data in picture form. A set of data capture tools, including a **subjective data** parameter palette and accompanying data canvas, are presented to an

11/AN,AZ,II/1 (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0016340182

Computer system, for analyzing financial instruments e.g. securities,
displays sentiment ratings for each financial entity

Original Titles:

Method and system for conducting sentiment analysis for securities research
METHOD AND SYSTEM FOR CONDUCTING SENTIMENT ANALYSIS FOR SECURITIES RESEARCH
PROCEDE ET SYSTEME DESTINES A CONDUIRE DES ANALYSES DE SENTIMENT DANS LA
RECHERCHE DE SECURITE

Local Applications (No Type Date): WO 2006US14646 A 20060419; US
2005110291 A 20050420

Priority Applications (no., kind, date): US 2005110291 A 20050420

11/AN,AZ,II/2 (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0015312753

Recommendations generation method for personalized music, involves
calculating implicit rating based on number of user interactions during
specific duration and total number of interactions

Original Titles:

Recommender system and method for generating implicit ratings based on user
interactions with handheld devices

Local Applications (No Type Date): US 2000596070 A 20000616

Priority Applications (no., kind, date): US 2000596070 A 20000616

11/AN,AZ,II/3 (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0014996815

Content provision system e.g. for web directory, adds communication
capability based on usable network resource, to content selected by
provider, by combining contents with application program interface

Original Titles:

System and method for providing digital contents using open API

Local Applications (No Type Date): US 2004941139 A 20040914; KR 200375634
A 20031028; KR 200375634 A 20031028

Priority Applications (no., kind, date): KR 200375634 A 20031028

11/AN,AZ,II/4 (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0014752577

Held stocks management system for cash trading, has securities company
using back office computer to process general business, and system adapter
transmitting and receiving information to and from computer through
interface

Original Titles:

OPEN INTEREST MANAGEMENT SYSTEM WITH LOSS CUT FUNCTION AT INDEPENDENT OPEN
INTEREST-BASED DESIGNATED RATE TO BE EXECUTED UNIFORMLY FOR ALL OPEN
INTERESTS IN CUSTOMER ACCOUNT IN STOCK CREDIT TRANSACTION, HELD STOCK
MANAGEMENT SYSTEM WITH LOSS CUT FUNCTION AT INDEPENDENT STOCK-BASED
DESIGNATED RATE TO BE EXECUTED UNIFORMLY FOR ALL STOCKS IN CUSTOMER
ACCOUNT, AND OPEN INTEREST MANAGEMENT SYSTEM WITH LOSS CUT FUNCTION AT
INDEPENDENT OPEN INTEREST-BASED DESIGNATED DEPOSIT KEEPING RATE TO BE
EXECUTED UNIFORMLY FOR OPEN INTERESTS IN CUSTOMER ACCOUNT IN STOCK CREDIT

TRANSACTION

Management system for open position with indication for loss cut and held
stock management system with indication for loss cut
Local Applications (No Type Date): US 2004886797 A 20040709; KR 200453619
A 20040709; JP 2004105491 A 20040331; CN 200410063630 A 20040709
Priority Applications (no., kind, date): JP 200478027 A 20040318; JP
2003432317 A 20031226; JP 2003272720 A 20030710; JP 2004105491 A
20040331

11/AN,AZ,TI/5 (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013910334
Demand-based online trading of financial products and derivative
strategies, involves approximating contingent claim in demand-based
auction, with set of demand-based claims including digital option

Original Titles:
DUPLIZIERTE DERIVATIVE MIT AUF NACHFRAGE BASIERENDEN, EINSTELLBAREN
RENDITEN UND HANDELSBASE DAFUR
REPLICATED DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS DERIVES REPLIQUES A RENDEMENTS AJUSTABLES, BASES SUR LA DEMANDE,
ET ECHANGES COMMERCIAUX ASSOCIES
Replicated derivatives having demand-based, adjustable returns, and trading
exchange therefor
REPLICATED DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
PRODUITS DERIVES REPLIQUES A RENDEMENTS AJUSTABLES, BASES SUR LA DEMANDE,
ET ECHANGES COMMERCIAUX ASSOCIES
Local Applications (No Type Date): US 1999144890 P 19990721; US
1999448822 A 19991124; US 2001774816 A 20010131; US 2001809025 A
20010316; US 2001950498 A 20010910; US 2002115505 A 20020402; US
2003365033 A 20030211; WO 2004US4553 A 20040211; EP 2004775774 A
20040211; WO 2004US4553 A 20040211
Priority Applications (no., kind, date): US 2002115505 A 20020402; US
2001950498 A 20010910; US 2001809025 A 20010316; US 2001774816 A
20010131; US 1999448822 A 19991124; US 1999144890 P 19990721; US
2003365033 A 20030211

11/AN,AZ,TI/6 (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013583942
User authentication system for data communication networks, has clients to
access services, centralized server to assign and verify digital
certificates and signatures and signing devices to digitally sign on behalf
of associated user

Original Titles:
Verfahren und Vorrichtung zur Identifizierung von Benutzern und zum
Authentifizieren digitaler Dokumente in den Datenkommunikationsnetzwerken
Method and system for identifying users and authenticating digital
documents on data communications networks
Procede et appareil d'identification des usagers et d'authentification de
documents numeriques dans des reseaux de communication de donnees
Method and system for identifying users and authenticating digital
documents on data communications networks
Local Applications (No Type Date): US 2003336691 A 20030106; EP 200321 A
20030103; IT 2002MO6 A 20020110
Priority Applications (no., kind, date): IT 2002MO6 A 20020110

11/AN,AZ,TI/7 (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013505031

Financial account management method involves determining amount of reward points associated with received financial account, based on reward incentive parameter and payment amount

Original Titles:

Methods, systems and articles of manufacture for managing financial accounts with reward incentives

Local Applications (No Type Date): US 2001996944 A 20011130

Priority Applications (no., kind, date): US 2001996944 A 20011130

11/AN,AZ,TI/8 (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013368842

Computer system for acquiring funds for business operator in exchange for certificates of securities, has CPU to transmit, via network interface, orders for printing on paper dividend, interest, and warranty legends entered via input device

Original Titles:

SECURITIES, COMPUTER FOR DISPLAYING ON SCREEN OR PRINTING WITH PRINTER
CONTENT PRINTED ON THE SECURITIES, PRINTER FOR PRINTING THE SECURITIES,
METHOD OF FORMING MARKET FOR BUYING AND SELLING THE SECURITIES, AND
COMPUTER AND PRINTER FOR ISSUING SECURITIES

System and method for generating, printing and marketing unique securities
Local Applications (No Type Date): CN 2002129610 A 20020902; JP 200327127

A 20030204; US 2003358432 A 20030204; KR 20036839 A 20030204

Priority Applications (no., kind, date): JP 2001265178 A 20010903; JP
200227550 A 20020205

11/AN,AZ,TI/9 (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013340915

Electronic interface for interacting with online message board, operates within internet browsing program to permit review of selected message entries from database based on user-defined search query

Original Titles:

User interface for interacting with online message board

Local Applications (No Type Date): US 1999309714 A 19990511

Priority Applications (no., kind, date): US 1999309714 A 19990511

11/AN,AZ,TI/10 (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013314948

Loan package evaluating system for computer based financial assistance, determines credit grading for borrower based on which loan package data is searched and compared by concealing personal information

Original Titles:

Credit/financing process

Local Applications (No Type Date): US 2001312919 P 20010816; US

2001327026 P 20011003; US 2002362314 P 20020305; US 2002139418 A
20020506; US 2002207344 A 20020729

Priority Applications (no., kind, date): US 2001312919 P 20010816; US
2001327026 P 20011003; US 2002362314 P 20020305; US 2002139418 A

11/AN,AZ,TI/11 (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0013023663
Recommendations generation method for online financial transactions ,
involves comparing prices of each financial instrument related to different
service/goods sources to define suitable recommendations

Original Titles:
Method and apparatus for generating secure recommendations from
market-based financial instrument prices
Method, apparatus, and article of manufacture for generating secure
recommendations from market-based financial instrument prices
Local Applications (No Type Date): US 2001809953 A 20010316; US
2001809953 A 20010316
Priority Applications (no., kind, date): US 2001809953 A 20010316

11/AN,AZ,TI/12 (Item 12 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0012651399
An accounting process for processing financial transactions computes
account balances and operates to compare current and historic balances and
to generate journal entries to account for the changes

Original Titles:
Accounting system for the dynamic state of the portfolio reporting
Accounting system for dynamic state of the portfolio reporting
ACCOUNTING SYSTEM FOR THE DYNAMIC STATE OF THE PORTFOLIO REPORTING
SYSTEME COMPTABLE POUR L'ETAT DYNAMIQUE DU RAPPORT DE PORTEFEUILLE
Local Applications (No Type Date): WO 2001US44311 A 20011126; US
2000253057 P 20001124; US 2001997716 A 20011126; AU 2002239352 A
20011126; AU 2002239352 A 20011126
Priority Applications (no., kind, date): US 2000253057 P 20001124; US
2001997716 A 20011126

11/AN,AZ,TI/13 (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0012282566
Method for chatting service offering bulletin
Local Applications (No Type Date): KR 200021600 A 20000424
Priority Applications (no., kind, date): KR 200021600 A 20000424

11/AN,AZ,TI/14 (Item 14 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0011222856
System and method for offering real stock in electronic commerce
Local Applications (No Type Date): KR 200144585 A 20010724
Priority Applications (no., kind, date): KR 200144585 A 20010724

11/AN,AZ,TI/15 (Item 15 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0011092592

On-line payment system for on-line shopping over internet, allows payment based on comparison between telephone number input by customer and that notified by CTI center when making order

Original Titles:

On-line Bezahlungssystem und Handelszentrum

Online payment system and merchandizing center

Systeme de paiement en ligne et centre de marchandage

ON-LINE SETTLEMENT SYSTEM AND COMMODITY SELLING CENTER

Local Applications (No Type Date): EP 2000123486 A 20001107; CA 2325347

A 20001108; JP 2000145048 A 20000517

Priority Applications (no., kind, date): JP 2000145048 A 20000517

11/AN,AZ,TI/16 (Item 16 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0010766347

Computer based semi-automated data processing system in financial investment environment, generates summary report containing selected item ID, summary recommendations for selected item and other details

Original Titles:

Magazine, online, and broadcast summary recommendation reporting system to aid in decision making.

Local Applications (No Type Date): US 199857823 A 19980409

Priority Applications (no., kind, date): US 199857823 A 19980409

11/AN,AZ,TI/17 (Item 17 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0010743277

System for collecting security transaction sentiment data by recording a reason provided by an investor on a ballot for trading a security and storing the reason on a database

Original Titles:

SYSTEM AND METHOD FOR COLLECTING SECURITY TRANSACTION SENTIMENT DATA

SYSTEME ET PROCEDE DE COLLECTE DE DONNEES RELATIVES AUX ATTITUDES LIEES AUX TRANSACTIONS AVEC DES VALEURS MOBILIERES

Local Applications (No Type Date): WO 2000US26457 A 20000921; AU

200114307 A 20000921

Priority Applications (no., kind, date): US 1999447478 A 19991123

11/AN,AZ,TI/18 (Item 18 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0010741825

Investor performance evaluation for business investment systems, by computing investor performance score using average historical performance, historical performance consistency and number of investment transactions

Original Titles:

System, method and computer readable medium containing instructions for evaluating and disseminating securities analyst performance information

System, method and computer readable medium containing instructions for evaluating and disseminating investor performance information

System, method and computer readable medium containing instructions for evaluating and disseminating investor performance information

System, method and computer readable medium containing instructions for evaluating and disseminating investor performance information

SYSTEM, METHOD AND COMPUTER READABLE MEDIUM CONTAINING INSTRUCTIONS FOR EVALUATING AND DISSEMINATING INVESTOR PERFORMANCE INFORMATION

SYSTEME, PROCEDE ET SUPPORT LISIBLE PAR ORDINATEUR, CONTENANT DES INSTRUCTIONS SERVANT A EVALUER ET A DIFFUSER DES INFORMATIONS DE PERFORMANCES REALISEES PAR DES INVESTISSEURS

Local Applications (No Type Date): WO 2000US16735 A 20000619; AU 200054961 A 20000619; US 1999139771 P 19990618; US 2000196314 P 20000412; US 2000597742 A 20000619; US 2001829439 A 20010410; US 1999139771 P 19990618; US 2000597742 A 20000619; US 1999139771 P 19990618; US 2000597742 A 20000619; US 2006385214 A 20060320; US 1999139771 P 19990618; US 2000597742 A 20000619; US 2006385349 A 20060320
Priority Applications (no., kind, date): US 1999139771 P 19990618; US 2000196314 P 20000412; US 2000597742 A 20000619; US 2001829439 A 20010410; US 2006385214 A 20060320; US 2006385349 A 20060320

11/AN,AZ,TI/19 (Item 19 from file: 350)
DIALOG(R)File 350:(c) 2008 The Thomson Corporation. All rts. reserv.

0010059361
Graphical user data collection interface for use with computer program, has parameter canvas and menu simultaneously visible to user for identifying data parameter associated with subjective data information

Original Titles:

Interface and method adapted for capturing subjective preferences of program users
Interface and program using visual data arrangements for expressing user preferences concerning an action or transaction
Stock trading application program
Graphical data collection and retrieval interface .

Interface and program using visual data arrangements for expressing user preferences concerning an action or transaction

GRAPHICAL DATA COLLECTION INTERFACE

INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Local Applications (No Type Date): WO 1999US24369 A 19991018; US 1998173853 A 19981016; US 1998173853 A 19981016; US 2001945099 A 20010831; US 1998173853 A 19981016; US 2001944624 A 20010831; US 1998173853 A 19981016; US 2001945184 A 20010831; US 1998173853 A 19981016; US 2001944624 A 20010831
Priority Applications (no., kind, date): US 1998173853 A 19981016; US 2001944624 A 20010831; US 2001945099 A 20010831; US 2001945184 A 20010831

11/AN,AZ,TI/20 (Item 20 from file: 347)
DIALOG(R)File 347:(c) 2008 JPO & JAPIO. All rts. reserv.

07836708
INVITATION SYSTEM FOR PAYMENT SURROGATION CONTRACT OF ELECTRONIC FINANCIAL TRANSACTION

APPL. NO.: 2002-133103 [JP 2002133103]

11/AN,AZ,TI/21 (Item 21 from file: 347)
DIALOG(R)File 347:(c) 2008 JPO & JAPIO. All rts. reserv.

06915437
STOCK TRANSACTION SYSTEM UTILIZING INTERNET

APPL. NO.: 11-327613 [JP 99327613]

? show files;ds

File 348:EUROPEAN PATENTS 1978-2007/ 200802

(c) 2008 European Patent Office

File 349:PCT FULLTEXT 1979-2008/UB=20080117UT=20080110

(c) 2008 WIPO/Thomson

Set	Items	Description
S1	10062	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	10062	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	4775	SUBJECTIVE()(INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	9261	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT()(END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL)()REPRESENTATION
S5	23	S4(S)(S2(10N)S3)
S6	52	S2(5N)S3
S7	32	S6 AND IC=(G06F OR G06Q OR G09G)
S8	14	S4(2S)S7
S9	25	S5 OR S8
S10	25	IDPAT (sorted in duplicate/non-duplicate order)
S11	25	IDPAT (primary/non-duplicate records only)

11/3,K/3 (Item 3 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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01430022 **Image available**

**METHOD AND SYSTEM FOR CONDUCTING SENTIMENT ANALYSIS FOR SECURITIES RESEARCH
PROCEDE ET SYSTEME DESTINES A CONDUIRE DES ANALYSES DE SENTIMENT DANS LA
RECHERCHE DE SECURITE**

Patent Applicant/Assignee:

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Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 2006113761 A2-A3 20061026 (WO 06113761)
Application: WO 2006US14646 20060419 (PCT/WO US2006014646)
Priority Application: US 2005110291 20050420

Designated States:

(All protection types applied unless otherwise stated - for applications
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KN KP KR
KZ LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG
PH PL PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC
VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
PL PT RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 8533

Fulltext Availability:

Detailed Description

Detailed Description

... 0010] For example, a user accessing the financial analysis system
through the Internet uses a **display** generated by the user **interface**
program to select a topic about which sentiment data is desired. The
user **interface** program may then send a request to the database archive,
which retrieves data relevant to...

...requested topic that has been previously located and stored in the
database. Alternatively, the user **interface** program may prompt the
content mining search agent to conduct an on-line search of...

...expressed sentiment regarding the selected topic within the data source
or data sources. The user **interface** program then creates an aggregate
value corresponding to the overall sentiment expressed for the selected
topic and generates a **graphical** representation of the sentiment
analysis containing the user's requested results. This **graphical**
representation may contain sentiment analysis results for each source
selected in the query, along with stock pricing and analyst rankings
corresponding in time to the **sentiment** analysis, allowing a user to
make informed **stock purchase** and **sale** decisions incorporating
traditionally available information and online **sentiment** information.

Brief Description of the Drawings

[00111 Fig. 1 illustrates a schematic diagram demonstrating the...

11/3,K/10 (Item 10 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00937178 **Image available**

CYBER TRADING SERVICE DEVICE AND METHOD FOR ANALYZING BUY QUANTITY
DISPOSITIF DE SERVICES DE COMMERCE ELECTRONIQUE ET PROCEDE D'ANALYSE DE
TITRES ACHETES

Patent Applicant/Inventor:

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Legal Representative:

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Kangnam-ku, 135-080 Seoul, KR,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200271297 A1 20020912 (WO 0271297)

Application: WO 2002KR406 20020308 (PCT/WO KR0200406)

Priority Application: KR 200112117 20010308; KR 200153959 20010903; KR
20023317 20020121

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KZ LC LK LR LS
LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK
SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11943

Fulltext Availability:

Detailed Description

Detailed Description

... and loss, the earning rate, and the profit or loss depth) in the
quantity list window 240d in step T1 7g. Therefore, since the user can
previously check the changes of...

...using the buy price and quantity before transmitting a buy order (i.e.,
without actually buying the stocks), the user can more correctly
decide a buy opinion.

Further, the profit and loss analysis program 230c may include
calculations of: the commission for...

11/3,K/16 (Item 16 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00805475 **Image available**

SYSTEM AND METHOD FOR COLLECTING SECURITY TRANSACTION SENTIMENT DATA
SYSTEME ET PROCEDE DE COLLECTE DE DONNEES RELATIVES AUX ATTITUDES LIEES AUX
TRANSACTIONS AVEC DES VALEURS MOBILIERES

Patent Applicant/Assignee:

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TON Huy, 420 James, Palo Alto, CA 94306, US,

Legal Representative:

KAPLAN Cindy (agent), Ritter, Lang & Kaplan, LLP, Suite D1, 12930
Saratoga Avenue, Saratoga, CA 95070, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139065 A1 20010531 (WO 0139065)
Application: WO 2000US26457 20000921 (PCT/WO US0026457)
Priority Application: US 99447478 19991123

Designated States:

(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA
MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA
UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11979

Fulltext Availability:

Detailed Description
Claims

Detailed Description

... BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram illustrating screens used to **trade stock**
and
collect and **display** information relating to a user's **reasons** for
performing the trade, in a system of the present invention.

Fig. 2 is a...stock), trade action (e.g., buy, sell, short, cover) and
the number of shares of **stock traded**. The system of the present
invention is used to collect **sentiment** data after the order is placed,
before the order is placed, or at the time the order is placed, analyze
the data collected, and **display** the data in various formats, as
described below. The data may be used by an investor to review **stocks**
traded for reasons similar to those used by the investor or may be
used to analyze performance of...button 21 which the user must select to
continue processing his order. After confirming his **stock trade**, a
top-level ballot **screen 24** used to collect **sentiment** data from the
user will appear. The ballot **screen 24** is preferably presented to the
user immediately after he has made a trade so...

...his mind. Once the user specifies the

10
reason for making the trade, a summary **screen 25** will appear. The
summary **screen 25** provides the user with various types of statistics on
recent **sentiment** data collected for the **stock** he 'ust **traded** and
sentiment data for different **stocks traded for reasons** similar to
the one he selected, as described below. The summary **screen 25** may
include different types of sentiment data displayed in various fon-nats
and preferably...

...user may move on to a different site or return to the stock brokerage
home **page**, I 0 for example. The user may be required to select a reason
for a...

...order to continue to have access to the collected sentiment data.

...CHAT ROOM BULLETIN BOA"

Date: 10/30/00

Bv: Username

RePNI To: ID

Message

.....
.....
.....
To view a certificate specifying the stock and number of
shares traded by the above user click...

...L'I'-'@456'/

Fig. 14

SUBSTITUTE SHEET (RULE 26)

/16 25

STOCKS SOLD FOR SAME REASON :

Failing PC Prices

All other reasons

Your Stock@ Other Stocks : Other Stocks @

Trade Action Trade Action Trade Action

c 9 0

6 0 641110

40%

36% 9%

Company X Company...

...reason selected for last trade of Company X stock is currently ranked

No. 4,

The reasons for the last five trades of stock of Company X are:

- Reason A (100 shares)

- Reason B (500 shares)

- Reason C (150 shares)

- Reason A (50 shares)

- Reason D (500 shares...

...265)

Reason Identifier: Look up/Add Blow away Next takenn !ID

Ballot: FEAI Look up View Ballot

Search for Reason earch

E

Reason ID : 1087

Summary:

IIIntel discovers flaws in Xeon...

...Blow away Next taken ID

-] F

Ballot: [e IT] V T

MCA I Look up View Ballot

Search for Reason@ [:@e @ai c I Ai

Ticker: INTC

Company: Intel

Reason Id...

...name of data base and, where practicable, search terms used)

STN, WEST, EAST

search terms: trading , exchange, security, stock , reason , goal,

Internet, web pages,

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category* Citation of document, with...

*removed 2
unnecessary
pages*

11/3,K/23 (Item 23 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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00560499 **Image available**

GRAPHICAL DATA COLLECTION INTERFACE

INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES

Patent Applicant/Assignee:

PROPHET FINANCIAL SYSTEMS INC,
KNIGHT Timothy O,

Inventor(s):

KNIGHT Timothy O,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200023872 A1 20000427 (WO 0023872)

Application: WO 99US24369 19991018 (PCT/WO US9924369)

Priority Application: US 98173853 19981016

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

CA CN DE GB JP MX RU US AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES

FI FR GB GR IE IT LU MC NL PT SE

Publication Language: English

Fulltext Word Count: 15268

Fulltext Availability:

Detailed Description

English Abstract

This is a graphical user **interface** which provides for capturing application data in picture form. A set of data capture tools...

...impressions, reasons, etc. for engaging in a particular transaction. In a preferred embodiment, the present **interface** is used in connection with a stock **portfolio management** application, which is used to capture user **rationale** and logic for **purchasing** or **selling financial** instruments such as securities, options, etc.

Detailed Description

... 0 includes two subjective parameters 230, 231 selected by a user from menu 205 as **motivating** his/her to **purchase** a particular **stock**. In this instance, such user has identified certain assertions as the basis for his/her...preferably using a common computer mouse) of parameters on the left hand side of the **interface**, and their drag and drop placement on the right hand side of the **interface**. As the palette 205 and canvas 215 are located closely and adjacent to each other...

...palette 205 to canvas 215 is relatively small: i.e., less than half the total **interface screen** size.

This reduction in demands on the user's attention and time results in more...

...and accurate data extraction because the user is not discouraged or de-motivated by the **interface** from presenting his/her opinions in complete form, and in a manner that is more...other users) had identified "Good Dividend," and the "Company has good products" (or some other **reasons**) as **reasons** for their **purchasing** a particular **financial** instrument. The overall average could be plotted as well as a separate graph 262 as noted. Other variations of feedback **window** 260 and information to be displayed thereon are of course possible, and the present invention...

...of immediate visual feedback including telemarketing, product service support, etc. In such environments, the present **interface** could be used as a marketing data capture **interface**, and as data is collected by an operator from a customer, feedback **window** 260 can present potential options to the operator correlating such user's data with prior...

...skilled in the art for other fields of interest.

In another embodiment of the inventive **interface** 200, instead of corresponding to "reasons" employed by a user for a particular action,

parameters...

...particular experience of engaging in the action/transaction. Preferably this second variation of the inventive **interface** is used in conjunction with, and as a complement to the first embodiment noted above. For example, after first creating a data picture with the aforementioned graphical **interface** to identify particular reasons and motivations for a particular action/transaction, the user can then invoke a separate **interface** at a later time for identifying any subsequent lessons, understandings, etc. that he/she has...don't listen to advice from X," etc. This information would be captured by the **interface** so the user can maintain a permanent but modifiable diary or log of useful data...

11/AN,AZ,TI/1 (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2008 European Patent Office. All rts. reserv.

02018194
Secure transaction management
Gesicherte Transaktionsverwaltung
Gestion de transactions securisees
APPLICATION (CC, No, Date): EP 2005076129 970829;
PRIORITY (CC, No, Date): US 706206 960830

11/AN,AZ,TI/2 (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2008 European Patent Office. All rts. reserv.

01891847
Method and apparatus for controllably reporting block instrument trades
Verfahren und System zum kontrollierten Berichten von blockweisen
Instrumentgeschäften
Systeme et appareil pour rapporter de facon controle des negotiations
d'instruments en bloc
APPLICATION (CC, No, Date): EP 2004256343 041014;
PRIORITY (CC, No, Date): US 687578 031016

11/AN,AZ,TI/3 (Item 3 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01430022
METHOD AND SYSTEM FOR CONDUCTING SENTIMENT ANALYSIS FOR SECURITIES RESEARCH
PROCEDE ET SYSTEME DESTINES A CONDUIRE DES ANALYSES DE SENTIMENT DANS LA
RECHERCHE DE SECURITE
Application: WO 2006US14646 20060419 (PCT/WO US2006014646)

11/AN,AZ,TI/4 (Item 4 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01419581
MONEY TRANSFER WALL UNIT
UNITE MURALE POUR TRANSFERT DE MONNAIE
Application: WO 2006US10137 20060320 (PCT/WO US2006010137)

11/AN,AZ,TI/5 (Item 5 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01232016
ASSET ANALYSIS ACCORDING TO THE REQUIRED YIELD METHOD
ANALYSE DE BIENS EN FONCTION DU PROCEDE DE RENDEMENT REQUIS
Application: WO 2004US33080 20041007 (PCT/WO US2004033080)

11/AN,AZ,TI/6 (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01196893
METHOD AND SYSTEM FOR PROVIDING RISK INFORMATION IN CONNECTION WITH
TRANSACTION PROCESSING
PROCEDE ET SYSTEME POUR FOURNIR DES INFORMATIONS CONCERNANT DES RISQUES EN
ASSOCIATION AVEC UN TRAITEMENT DE TRANSACTION
Application: WO 2004US21392 20040701 (PCT/WO US04021392)

11/AN,AZ,TI/7 (Item 7 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01180122

INSTANT GRATIFICATION TERMINAL AND ELECTRONIC PAYMER NETWORK FOR CARRYING
FINANCIAL AND NON FINANCIAL DATA
TERMINAL DE GRATIFICATION INSTANTANEE ET RESEAU DE PAIEMENT ELECTRONIQUE
PERMETTANT DE TRANSPORTER DES DONNEES FINANCIERES ET NON FINANCIERES
Application: WO 2004SG124 20040507 (PCT/WO SG04000124)

11/AN,AZ,TI/8 (Item 8 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

01012865
METHOD AND APPARATUS FOR CREATING INVESTMENT ADVICE MARKETPLACE AND FOR
PROVIDING INVESTMENT ADVICE TO MULTIPLE INVESTORS
PROCEDE ET APPAREIL POUR CREER UN MARCHÉ DE CONSEILS EN INVESTISSEMENT ET
POUR FOURNIR DES CONSEILS EN INVESTISSEMENT A PLUSIEURS INVESTISSEURS
Application: WO 2002US36839 20021115 (PCT/WO US0236839)

11/AN,AZ,TI/9 (Item 9 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00994559
DIGITAL OPTIONS HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFOR
OPTIONS NUMERIQUES A RETOURS AJUSTABLES BASEES SUR LA DEMANDE ET BOURSE
D'ECHANGES COMMERCIAUX AFFERENTE
Application: WO 2002US30309 20020909 (PCT/WO US02030309)

11/AN,AZ,TI/10 (Item 10 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00937178
CYBER TRADING SERVICE DEVICE AND METHOD FOR ANALYZING BUY QUANTITY
DISPOSITIF DE SERVICES DE COMMERCE ELECTRONIQUE ET PROCEDE D'ANALYSE DE
TITRES ACHETES
Application: WO 2002KR406 20020308 (PCT/WO KR0200406)

11/AN,AZ,TI/11 (Item 11 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00901310
METHOD FOR DELIVERING INFORMATION IN A CLOSED LOOP MULTIMEDIA SYSTEM
PROCEDE DE DISTRIBUTION D'INFORMATION DANS UN SYSTEME MULTIMEDIA EN BOUCLE
FERMEE
Application: WO 2001US45545 20011025 (PCT/WO US0145545)

11/AN,AZ,TI/12 (Item 12 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00846412
SYSTEM AND METHOD FOR A STOCK TRADING CIRCLE
SYSTEME ET PROCEDE POUR CERCLE D'OPERATIONS SUR VALEURS MOBILIERES
Application: WO 2001US12071 20010412 (PCT/WO US0112071)

11/AN,AZ,TI/13 (Item 13 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00831902
SYSTEM AND METHOD FOR ELECTRONIC DISTRIBUTION OF BENEFITS
SYSTEME ET PROCEDE PERMETTANT DE FOURNIR DE MANIERE ELECTRONIQUE DES
PRESTATIONS
Application: WO 2001US40205 20010301 (PCT/WO US0140205)

11/AN,AZ,TI/14 (Item 14 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00820481
PROTECTED ACCOUNTABLE PRIMARY FOCAL NODE INTERFACE
INTERFACE PROTEGEE RESPONSABILISEE SOUS FORME DE NOEUD FOCAL PRIMAIRE
Application: WO 2001US1645 20010119 (PCT/WO US0101645)

11/AN,AZ,TI/15 (Item 15 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00814145
A METHOD FOR EXECUTING A NETWORK-BASED CREDIT APPLICATION PROCESS
PROCEDE DE MISE EN OEUVRE D'UN PROCESSUS DE DEMANDE DE CREDIT EN RESEAU
Application: WO 2000US35216 20001222 (PCT/WO US0035216)

11/AN,AZ,TI/16 (Item 16 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00805475
SYSTEM AND METHOD FOR COLLECTING SECURITY TRANSACTION SENTIMENT DATA
SYSTEME ET PROCEDE DE COLLECTE DE DONNEES RELATIVES AUX ATTITUDES LIEES AUX
TRANSACTIONS AVEC DES VALEURS MOBILIERES
Application: WO 2000US26457 20000921 (PCT/WO US0026457)

11/AN,AZ,TI/17 (Item 17 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00792484
STRUCTURED FINANCE TRANSACTION ANALYTIC SYSTEM AND METHOD
PROCEDE ET SYSTEME ANALYTIQUES DE TRANSACTIONS FINANCIERES STRUCTUREES
Application: WO 2000US26985 20000929 (PCT/WO US0026985)

11/AN,AZ,TI/18 (Item 18 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00784138
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR A REQUEST BATCHER IN A
TRANSACTION SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE POUR MODULE DE MISE EN LOTS DES
REQUETES DANS UN ENVIRONNEMENT CARACTERISE PAR DES SERVICES
TRANSACTIONNELS
Application: WO 2000US23885 20000831 (PCT/WO US0023885)

11/AN,AZ,TI/19 (Item 19 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00784137
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR DISTRIBUTED GARBAGE
COLLECTION IN ENVIRONMENT SERVICES PATTERNS
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION EN MATIERE DE RECUPERATION
D'ESPACE REPARTI DANS DES MOTIFS DE SERVICES D'ENVIRONNEMENT
Application: WO 2000US24238 20000831 (PCT/WO US0024238)

11/AN,AZ,TI/20 (Item 20 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00784134
A SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR A CONSTANT CLASS COMPONENT

IN A BUSINESS LOGIC SERVICES PATTERNS ENVIRONMENT
SYSTEME, PROCEDE ET ARTICLE MANUFACTURE UN COMPOSANT DE CLASSE DE CONSTANTE
DANS UN ENVIRONNEMENT DE SCHEMAS DE SERVICES DE LOGIQUE D'AFFAIRES
Application: WO 2000US24188 20000831 (PCT/WO US0024188)

11/AN,AZ,TI/21 (Item 21 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00784126
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR AN EXCEPTION RESPONSE TABLE
IN ENVIRONMENT SERVICES PATTERNS
SYSTEME, PROCEDE ET ARTICLE DE PRODUCTION DESTINES A UNE TABLE DE REPONSE
D'EXCEPTION DANS DES CONFIGURATIONS DE SERVICES D'ENVIRONNEMENT
Application: WO 2000US24086 20000831 (PCT/WO US0024086)

11/AN,AZ,TI/22 (Item 22 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00579179
ALLOCATION SYSTEMS
SYSTEMES D'ATTRIBUTION
Application: WO 2000US634 20000111 (PCT/WO US0000634)

11/AN,AZ,TI/23 (Item 23 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00560499
GRAPHICAL DATA COLLECTION INTERFACE
INTERFACE GRAPHIQUE DE COLLECTE DE DONNEES
Application: WO 99US24369 19991018 (PCT/WO US9924369)

11/AN,AZ,TI/24 (Item 24 from file: 349)
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00467891
SYSTEM AND METHOD FOR PROCESSING MULTIPLE FINANCIAL APPLICATIONS USING A
THREE-TIER VALUE NETWORK
SYSTEME ET PROCEDE DE TRAITEMENT D'APPLICATIONS FINANCIERES MULTIPLES AU
MOYEN D'UN RESEAU DES VALEURS A TROIS TIERS
Application: WO 98US12408 19980616 (PCT/WO US9812408)

11/AN,AZ,TI/25 (Item 25 from file: 349)
DIALOG(R)File 349:(c) 2008 WIPO/Thomson. All rts. reserv.

00303277
METHOD AND SYSTEM FOR ALLOCATING AND REDEEMING INCENTIVE CREDITS
PROCEDE ET SYSTEME D'AFFECTATION ET DE REMBOURSEMENT DE CREDITS
PROMOTIONNELS
Application: WO 95US1432 19950202 (PCT/WO US9501432)

? show files;ds

File 2:INSPEC 1898-2008/Dec W4
(c) 2008 Institution of Electrical Engineers

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(c) 2008 The New York Times

File 475:Wall Street Journal Abs 1973-2008/Jan 22
(c) 2008 The New York Times

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(c) format only 2007 Dialog

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(c) 2008 Amer. Psychological Assn.

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(c) 2008 The Thomson Corp

File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
(c) 2006 The Thomson Corp

File 144:Pascal 1973-2008/Jan W2
(c) 2008 INIST/CNRS

Set	Items	Description
S1	114819	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	114819	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	3042	SUBJECTIVE()(INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	6632	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT()(END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL)()REPRESENTATION
S5	25	S4(S)(S2(10N)S3)
S6	264	S2(7N)S3
S7	29	S4 AND S6
S8	24	S4(2S)S6
S9	30	S5 OR S8
S10	13	S9 NOT PY>1998
S11	13	S10 NOT PD=19981017:20080229
S12	12	RD (unique items)

12/3,K/3 (Item 1 from file: 35)
DIALOG(R)File 35:Dissertation Abs Online
(c) 2007 ProQuest Info&Learning. All rts. reserv.

01437157 ORDER NO: AADAA-I0576336
**TAXATION, OPTIMIZATION, AND THE JANUARY SEASONAL EFFECT (AND OTHER ESSAYS
IN TAXATION AND FINANCE)**
Author: SIMS, THEODORE S.
Degree: PH.D.
Year: 1995
Corporate Source/Institution: MASSACHUSETTS INSTITUTE OF TECHNOLOGY (0753)
Source: VOLUME 56/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.
PAGE 2352.

Essays One and Two study the relationship between tax- motivated
year-end loss selling of securities and the January "seasonal effect".
Using 1964-1990 NYSE/AMEX daily returns for a 35...

...negatively related to the January effect. Using a new measure of
tax-loss potential, I show also that year-end short and long-term loss
potential is positively related to the...

...In the face of uncertainty about the year-end price of the loss stock, I
show that there exists an interval of prices at which the investor
optimally waits before taking...

12/3,K/11 (Item 1 from file: 6)
DIALOG(R)File 6:NTIS
(c) 2008 NTIS, Intl Cpyrght All Rights Res. All rts. reserv.

2362963 NTIS Accession Number: ADA453252/XAB
**Modeling and Analysis of Real-Time Database Systems in the Framework of
Discrete Event Systems**
(Master's thesis)
Ghosh, A.
Maryland Univ., College Park. Inst. for Systems Research.
Corp. Source Codes: 005683005; 428992
Report No.: ISR-MS-95-6
1994 96p
Languages: English Document Type: Thesis
Journal Announcement: USGRDR0701
Sponsored in part by the National Science Foundation.
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fax at (703)605-6900; and email at orders@ntis.gov. NTIS is located at 5285
Port Royal Road, Springfield, VA, 22161, USA.
NTIS Prices: PC A06/MF A01

Real-time systems are an active area of research currently, motivated
by the potential of widespread applicability in areas like stock
trading, network management, air traffic control, robotics and factory
automation. Since these systems deal with large...

... uses this model to simulate transaction execution for a (concurrency
controller, scheduler) pair. Finally, we show that our approach can also
be viewed as a special case of a supervisory control...

... powerful tool in modeling and analyzing transaction models in real time
database systems and to show potential applications of modern SCT
techniques in this area.

12/6/1 (Item 1 from file: 2)
05607148 INSPEC Abstract Number: C9404-7110-003
Title: Moving mountains: transitioning from a paper to a computer based
administrative system
Publication Date: 1991

12/6/2 (Item 2 from file: 2)
03218539 INSPEC Abstract Number: C84015012, D84000819
Title: Picking up a good bargain from the second-hand DP dealer
Publication Date: 23 Feb. 1984

12/6/3 (Item 1 from file: 35)
01437157 ORDER NO: AADAA-I0576336
TAXATION, OPTIMIZATION, AND THE JANUARY SEASONAL EFFECT (AND OTHER ESSAYS
IN TAXATION AND FINANCE)
Year: 1995

12/6/4 (Item 2 from file: 35)
01202909 ORDER NO: AAD92-05602
ESSAYS IN EQUILIBRIUM ASSET PRICING (ASSET PRICING, BONDS, JAPAN)
Year: 1991

12/6/5 (Item 1 from file: 474)
00241415 NYT Sequence Number: 005985720509
(SEC warns investment mgrs on May 9 to prepare to show valid reasons
for placing securities transactions with affiliated brokers despite
higher prices, statement clarifying its Feb statement on future structure
of securities mkts)
Tuesday May 9 1972

12/6/6 (Item 1 from file: 583)
05268085
The need for GPA to cut spending and raise new funds
WORLD - ANALYSTS BELIEVE GPA SHOULD LIMIT ITS AMBITIONS
0 August 1992

12/6/7 (Item 1 from file: 7)
02505063 Genuine Article#: LJ966 Number of References: 18
Title: LIQUIDITY AS A CHOICE VARIABLE - A LESSON FROM THE JAPANESE
GOVERNMENT BOND MARKET (Abstract Available)
1993

12/6/8 (Item 2 from file: 7)
02496045 Genuine Article#: LF014 Number of References: 27
Title: STOCK-MARKET CRASHES - WHAT HAVE WE LEARNED FROM OCTOBER 1987 (Abstract Available)
1993

12/6/9 (Item 1 from file: 139)
719096
TITLE: Noise Trading, Delegated Portfolio Management, and Economic Welfare
PUBLICATION DATE: 1994

12/6/10 (Item 2 from file: 139)
200268
TITLE: Restrictions on Outward Portfolio Investment and Domestic Equity
PUBLICATION DATE: March 1987

12/6/11 (Item 1 from file: 6)
2362963 NTIS Accession Number: ADA453252/XAB
Modeling and Analysis of Real-Time Database Systems in the Framework of
Discrete Event Systems
(Master's thesis)
1994

12/6/12 (Item 1 from file: 8)
0000790602
Title: Planning for jobs
Publication Year: 1946

? show files;ds

File 20:Dialog Global Reporter 1997-2008/Jan 21
(c) 2008 Dialog

Set	Items	Description
S1	1960669	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	1960669	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	393900	SUBJECTIVE() (INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	357677	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT() (END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL) ()REPRESENTATION
S5	595	S4(S) (S2(10N)S3) /
S6	47	S4(10N) (S2(5N)S3)
S7	20	S5 NOT PY>1998
S8	15	S7 NOT PD=19981017:20080229
S9	14	RD (unique items)

9/3,K/10

DIALOG(R)File 20:Dialog Global Reporter
(c) 2008 Dialog. All rts. reserv.

01969815 (USE FORMAT 7 OR 9 FOR FULLTEXT)

London shares outlook

AFX EUROPE

June 18, 1998 7:12

JOURNAL CODE: WAXE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 477

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... afternoon, the U.S. April trade data and weekly jobless data will be key for **sentiment** on both sides of the Atlantic.

Hong Kong- **traded stocks**, which have been battered by the swings seen in the Asian markets over recent days...

9/3,K/11

DIALOG(R)File 20:Dialog Global Reporter
(c) 2008 Dialog. All rts. reserv.

01683791

Tricks of the trade

Barbara Drury

ABIX - AUSTRALASIAN BUSINESS INTELLIGENCE (SYDNEY MORNING HERALD) , p98

May 16, 1998

JOURNAL CODE: WSMH LANGUAGE: English RECORD TYPE: ABSTRACT

WORD COUNT: 142

...medium and longer-term trends. Chartists and traders then use these charts to determine market **sentiment**. When **sentiment** turns and a trend is reversed, a **trader** buys **stock**. The underlying premise behind chartists and traders is that market trends are predictable

9/3,K/12

DIALOG(R)File 20:Dialog Global Reporter
(c) 2008 Dialog. All rts. reserv.

01447479 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Bank portfolios: The marking-to-market dilemma

BUSINESS LINE

April 22, 1998

JOURNAL CODE: FBLN LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 736

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... settlement, whereby every buy-sell deal struck over the telephone is immediately put on the **screen**. The RBI expects to get the idea working at least before the current fiscal is...

9/6/1

02973943 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Jakarta shares close mixed amid window dressing, riot fears

September 30, 1998

WORD COUNT: 554

9/6/2

02861097

Ontario Ministry Of Economic Development, Trade And Tourism

September 18, 1998

WORD COUNT: 738

9/6/3

02859447

Palladini Leads Trade Mission To Italy To Boost Jobs

September 18, 1998

WORD COUNT: 739

9/6/4

02827284

**Phoenix and Award Announce Expiration of HSR Waiting Period; Combined
Fourth Fiscal Quarter Results To Be Below Expectations**

September 16, 1998

WORD COUNT: 732

9/6/5

02675775 (USE FORMAT 7 OR 9 FOR FULLTEXT)

BEARISH SPOOR IN ALMOST ALL DIRECTIONS

August 14, 1998

WORD COUNT: 484

9/6/6

02562449

Postabank - Papers

August 18, 1998

WORD COUNT: 209

9/6/7

02414098 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Consumers Packaging to Acquire U.S. Affiliate for US\$54.3 Million -
Operating efficiencies expected from acquisition of Glenshaw Glass**

July 31, 1998

WORD COUNT: 413

9/6/8

02361554 (USE FORMAT 7 OR 9 FOR FULLTEXT)

ANALYSIS - TOKYO MARKETS SHOW MISGIVINGS OVER NEW PM

July 29, 1998

WORD COUNT: 448

9/6/9

02234526

**INDIA-IMPACT: Long haul ahead: THE decision of Ras Propack Lamipack to drop
the proposal for capital reduction in view of the better performance of
the company is a strong...**

July 17, 1998

WORD COUNT: 107

9/6/10

01969815 (USE FORMAT 7 OR 9 FOR FULLTEXT)

London shares outlook

June 18, 1998

WORD COUNT: 477

9/6/11

01683791

Tricks of the trade

May 16, 1998

WORD COUNT: 142

9/6/12

01447479 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Bank portfolios: The marking-to-market dilemma

April 22, 1998

WORD COUNT: 736

9/6/13

01238437 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Edit -- NFL: Unenthusiastic rally

SECTION TITLE: STOCK MARKETS

March 23, 1998

WORD COUNT: 528

9/6/14

01234893 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Taiwan seeks direct trade relations with India

March 25, 1998

WORD COUNT: 336

? show files;ds

File 9:Business & Industry(R) Jul/1994-2008/Jan 18
(c) 2008 The Gale Group

File 15:ABI/Inform(R) 1971-2008/Jan 22
(c) 2008 ProQuest Info&Learning

File 16:Gale Group PROMT(R) 1990-2008/Jan 11
(c) 2008 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2008/Jan 07
(c)2008 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group

File 275:Gale Group Computer DB(TM) 1983-2008/Jan 17
(c) 2008 The Gale Group

File 476:Financial Times Fulltext 1982-2008/Jan 20
(c) 2008 Financial Times Ltd

Set	Items	Description
S1	1497114	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	1497114	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	354896	SUBJECTIVE() (INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	353711	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT() (END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL) ()REPRESENTATION
S5	398	S4(S) (S2(10N)S3)
S6	154	S5 NOT PY>1998
S7	148	S6 NOT PD=19981017:20080229
S8	133	RD (unique items)
S9	39	S4(10N) (S2(5N)S3)
S10	127	S4(20N) (S2(7N)S3) ;
S11	53	S10 NOT PY>1998
S12	52	S11 NOT PD=19981017:20080229
S13	45	RD (unique items)

13/3,K/10 (Item 9 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00209736 83-21297

Checking Out the Options

Miller, Jarrott T.

Pensions & Investment Age v11n14 PP: 30 Jul 11, 1983

ISSN: 0273-5466 JRNL CODE: PNI

...ABSTRACT: declining markets can more than compensate for the reduction of appreciation in advancing markets. Illustrations **show** that if a sponsor decides not to investigate the application of options to equity **portfolio management**, the decision should be based on valid **reasons**, not misconceptions.

13/3,K/27 (Item 8 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2008 The Gale Group. All rts. reserv.

06519324 SUPPLIER NUMBER: 13819959 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Loan pricing guru lights path for banks. (Christopher Snyder) (Company Profile)

Goodwin, William

American Banker, v158, n90, p1(2)

May 12, 1993

DOCUMENT TYPE: Company Profile ISSN: 0002-7561 LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 1203 LINE COUNT: 00095

... in the loan market, making it more efficient and liquid.

|Blind Pools of Risk'

One **reason** bank **stocks** **trade** at a big discount to the rest of the market is that investors **view** their loan portfolios as "blind pools of risk," Mr. Snyder said.

An active secondary market...

13/6/1 (Item 1 from file: 9)
01588922 Supplier Number: 24288864 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**POST-ACUTE CARE: IN THE REIT DIRECTION: INTEGRATED HEALTH SELLING
FACILITIES TO PAY DOWN DEBT**
June 08, 1998
WORD COUNT: 777

13/6/2 (Item 1 from file: 15)
01734373 03-85363
USE FORMAT 7 OR 9 FOR FULL TEXT

Flight to quality brings benefits
Oct 2, 1998 LENGTH: 5 Pages
WORD COUNT: 6470

13/6/3 (Item 2 from file: 15)
01572589 02-23578
USE FORMAT 7 OR 9 FOR FULL TEXT
**Comparative financing costs for competitive and negotiated Pennsylvania
school district bonds**
Winter 1998 LENGTH: 23 Pages
WORD COUNT: 6576

13/6/4 (Item 3 from file: 15)
01529322 01-80310
USE FORMAT 7 OR 9 FOR FULL TEXT
Slovenia
Dec 1996/Jan 1997 LENGTH: 1 Pages
WORD COUNT: 782

13/6/5 (Item 4 from file: 15)
01355386 00-06373
USE FORMAT 7 OR 9 FOR FULL TEXT
Strategies for selling and consolidating physician practices
Jan 1997 LENGTH: 4 Pages
WORD COUNT: 2408

13/6/6 (Item 5 from file: 15)
01004316 96-53709
USE FORMAT 7 OR 9 FOR FULL TEXT
Czech regulators weigh up their options
Mar 1995 LENGTH: 3 Pages
WORD COUNT: 2716

13/6/7 (Item 6 from file: 15)
00941477 95-90869
**The interaction between trading volume of stocks and options: Some
statistical evidence**
Oct 1994 LENGTH: 15 Pages

13/6/8 (Item 7 from file: 15)
00788314 94-37706
USE FORMAT 7 OR 9 FOR FULL TEXT
Selling your business
1994 LENGTH: 1 Pages
WORD COUNT: 642

13/6/9 (Item 8 from file: 15)
00592799 92-07972
USE FORMAT 7 OR 9 FOR FULL TEXT

Levelling the Playing Field

Jan 1992 LENGTH: 6 Pages

WORD COUNT: 4016

13/6/10 (Item 9 from file: 15)

00209736 83-21297

Checking Out the Options

Jul 11, 1983 LENGTH: 1 Pages

13/6/11 (Item 1 from file: 16)

05206852 Supplier Number: 47942939 (USE FORMAT 7 FOR FULLTEXT)

For The AuroraFund, Daylight Came Quickly

Sept, 1997

Word Count: 2216

13/6/12 (Item 2 from file: 16)

04582643 Supplier Number: 46737002 (USE FORMAT 7 FOR FULLTEXT)

Conglomerates in Korea Offer a Different business Environment

Sept 24, 1996

Word Count: 955

13/6/13 (Item 3 from file: 16)

04483330 Supplier Number: 46581710 (USE FORMAT 7 FOR FULLTEXT)

**KILLEARN PROPERTIES ISSUES CLARIFICATION CONCERNING THE PROPOSAL OF
PROACTIVE TECHNOLOGIES**

July 29, 1996

Word Count: 303

13/6/14 (Item 4 from file: 16)

04483329 Supplier Number: 46581709 (USE FORMAT 7 FOR FULLTEXT)

KILLEARN PROPERTIES, INC. REPORTS RESULTS

July 29, 1996

Word Count: 340

13/6/15 (Item 5 from file: 16)

04469727 Supplier Number: 46561625 (USE FORMAT 7 FOR FULLTEXT)

New distribution industry financial results

July 22, 1996

Word Count: 333

13/6/16 (Item 6 from file: 16)

04177494 Supplier Number: 46102848 (USE FORMAT 7 FOR FULLTEXT)

RALLY'S HAMBURGERS, INC. ANNOUNCES DEBT REPURCHASE

Jan 29, 1996

Word Count: 290

13/6/17 (Item 7 from file: 16)

02928625 Supplier Number: 43958083 (USE FORMAT 7 FOR FULLTEXT)

**MINVEN GOLD CORP. ANNOUNCES PRIVATE PLACEMENT FINANCING AND CORPORATE
CAPITAL RESTRUCTURING**

July 8, 1993

Word Count: 1043

13/6/18 (Item 8 from file: 16)

01716262 Supplier Number: 42142339 (USE FORMAT 7 FOR FULLTEXT)

Dean Witter Says 'Yes' to Roll-Up Reform, 'No' to Dissenters' Rights

June 10, 1991

Word Count: 693

13/6/19 (Item 9 from file: 16)
01435388 Supplier Number: 41719801 (USE FORMAT 7 FOR FULLTEXT)
GOLDEN OIL AND COBB RESOURCES ANNOUNCE MERGER AGREEMENT
Dec 5, 1990
Word Count: 360

13/6/20 (Item 1 from file: 148)
08654017 SUPPLIER NUMBER: 18276197 (USE FORMAT 7 OR 9 FOR FULL TEXT)
READING & BATES CORPORATION MAKES ANNOUNCEMENT REGARDING TRANSOCEAN ASA
May 12, 1996
WORD COUNT: 1246 LINE COUNT: 00102

13/6/21 (Item 2 from file: 148)
08044529 SUPPLIER NUMBER: 17119323 (USE FORMAT 7 OR 9 FOR FULL TEXT)
MEDALLIANCE SENDS DISPUTE TO ARBITRATION.
August 3, 1995
WORD COUNT: 475 LINE COUNT: 00044

13/6/22 (Item 3 from file: 148)
07804200 SUPPLIER NUMBER: 16832194 (USE FORMAT 7 OR 9 FOR FULL TEXT)
JOHN H. GUTFREUND, FORMER SALOMON CHAIRMAN, SUES TIME INC.
April 18, 1995
WORD COUNT: 1068 LINE COUNT: 00228

13/6/23 (Item 4 from file: 148)
07521107 SUPPLIER NUMBER: 15828740 (USE FORMAT 7 OR 9 FOR FULL TEXT)
New rule on revoking ACH debits doesn't satisfy securities industry.
(automated clearinghouses)
Sept 14, 1994
WORD COUNT: 401 LINE COUNT: 00032

13/6/24 (Item 5 from file: 148)
07304739 SUPPLIER NUMBER: 16124490 (USE FORMAT 7 OR 9 FOR FULL TEXT)
The spinoff and merger ex-date effects.
June, 1994
WORD COUNT: 12840 LINE COUNT: 00987

13/6/25 (Item 6 from file: 148)
07238476 SUPPLIER NUMBER: 14877818 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Selling your business. (includes list of resources) (Money Guide: New Ideas
to Make Your Business Grow)
March 15, 1994
WORD COUNT: 726 LINE COUNT: 00052

13/6/26 (Item 7 from file: 148)
06739112 SUPPLIER NUMBER: 14264221 (USE FORMAT 7 OR 9 FOR FULL TEXT)
SURGICAL CARE AFFILIATES SEEKS MERGER DISCUSSIONS WITH MEDICAL CARE
AMERICA; WOULD EXCHANGE 1.35 SCA SHARES FOR EACH MEDICAL CARE SHARE
Oct 25, 1993
WORD COUNT: 1853 LINE COUNT: 00151

13/6/27 (Item 8 from file: 148)
06519324 SUPPLIER NUMBER: 13819959 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Loan pricing guru lights path for banks. (Christopher Snyder) (Company
Profile)
May 12, 1993
WORD COUNT: 1203 LINE COUNT: 00095

13/6/28 (Item 9 from file: 148)
06205906 SUPPLIER NUMBER: 13628224 (USE FORMAT 7 OR 9 FOR FULL TEXT)
One market? Stocks, futures, and options during October 1987. (Papers and
Proceedings of Fifty-Second Annual Meeting: American Finance Association)
July, 1992
WORD COUNT: 10676 LINE COUNT: 00815

13/6/29 (Item 10 from file: 148)
04595383 SUPPLIER NUMBER: 09038457 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Statements to the Congress. (policy statements by members of Federal
Reserve System)
May, 1990
WORD COUNT: 15995 LINE COUNT: 01306

13/6/30 (Item 11 from file: 148)
04585569 SUPPLIER NUMBER: 08573982 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Nasta International postpones annual meeting of stockholders.
June 25, 1990
WORD COUNT: 320 LINE COUNT: 00025

13/6/31 (Item 12 from file: 148)
04579192 SUPPLIER NUMBER: 08487692 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Explaining the January small firm effect by the interaction of procedurally
rational investors and seasonal traders. (high returns at
turn-of-the-year)
Summer, 1990
WORD COUNT: 7899 LINE COUNT: 00623

13/6/32 (Item 13 from file: 148)
04565565 SUPPLIER NUMBER: 08486938 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Nasta International receives an offer from Tyco Toys.
May 30, 1990
WORD COUNT: 210 LINE COUNT: 00016

13/6/33 (Item 14 from file: 148)
04534518 SUPPLIER NUMBER: 08562381 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Offshore and overseas funds: investing in the 1990s. (Reader Service)
Jan, 1990
WORD COUNT: 1103 LINE COUNT: 00086

13/6/34 (Item 15 from file: 148)
03325849 SUPPLIER NUMBER: 06171243 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Forecast '88: tighter competition in beauty business.
Dec 11, 1987
WORD COUNT: 2832 LINE COUNT: 00222

13/6/35 (Item 16 from file: 148)
03116145 SUPPLIER NUMBER: 04600929 (USE FORMAT 7 OR 9 FOR FULL TEXT)
The best stocks for the tricky year ahead. (Investments '87: Stocks)
Jan, 1987
WORD COUNT: 1469 LINE COUNT: 00111

13/6/36 (Item 17 from file: 148)
02995208 SUPPLIER NUMBER: 04395629 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Apco Oil Corp. Liquidating Trust and Appalachian Co. contemplate the
repurchase of Energy Ventures Inc.
Sept 25, 1986

WORD COUNT: 432 LINE COUNT: 00034

13/6/37 (Item 18 from file: 148)
02854907 SUPPLIER NUMBER: 04215469 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Merchants National and Farmers State agree to merge.
April 23, 1986
WORD COUNT: 1019 LINE COUNT: 00081

13/6/38 (Item 19 from file: 148)
02513700 SUPPLIER NUMBER: 04034607 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Merchants National Corp. and U.S. Bancorp announce agreement in principle to merge.
Nov 26, 1985
WORD COUNT: 615 LINE COUNT: 00049

13/6/39 (Item 20 from file: 148)
02494774 SUPPLIER NUMBER: 03854773 (USE FORMAT 7 OR 9 FOR FULL TEXT)
National Association of Securities Dealers Inc. considers rule to govern voting rights assigned to common stock.
July 12, 1985
WORD COUNT: 725 LINE COUNT: 00057

13/6/40 (Item 21 from file: 148)
01765416 SUPPLIER NUMBER: 02634959 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Gulf + Western may increase securities of Brunswick to over 25 percent.
Feb 11, 1983
WORD COUNT: 146 LINE COUNT: 00012

13/6/41 (Item 22 from file: 148)
01763987 SUPPLIER NUMBER: 02622650 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Computers on the couch: CE talks to the analysts. (roundtable)
Feb, 1983
WORD COUNT: 4238 LINE COUNT: 00319

13/6/42 (Item 1 from file: 160)
02058699
Kidder, Peabody & Co., Inc. Investment Analyst Report on Software-Services Industry Outlook.
March 10, 1988

13/6/43 (Item 1 from file: 476)
0008554345 BOGKOADAIMFT
Commodities and Agriculture: Arguments continue on copper stock levels
Friday, November 15, 1996
Word Count: 538

13/6/44 (Item 2 from file: 476)
0008525347 BOGB2GAAI3FT
Action unlikely over EU ex-envoy
Thursday, February 29, 1996
Word Count: 253

13/6/45 (Item 3 from file: 476)
0006064948 BOBGIBTAAYFT
Letter: Proposed sole trader share dealing system should be given a chance
Tuesday, July 9, 1991
Word Count: 312

? show files;ds

File 610:Business Wire 1999-2008/Jan 22
(c) 2008 Business Wire.
File 613:PR Newswire 1999-2008/Jan 22
(c) 2008 PR Newswire Association Inc
File 621:Gale Group New Prod.Annou.(R) 1985-2008/Jan 07
(c) 2008 The Gale Group
File 624:McGraw-Hill Publications 1985-2008/Jan 18
(c) 2008 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2008/Jan 20
(c) 2008 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2008/Jan 21
(c) 2008 The Gale Group
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 13:BAMP 2008/Jan W2
(c) 2008 The Gale Group
File 56:Computer and Information Systems Abstracts 1966-2008/Dec
(c) 2008 CSA.
File 75:TGG Management Contents(R) 86-2008/Jan W1
(c) 2008 The Gale Group
File 249:Mgt. & Mktg. Abs. 1976-2007Apr W5
(c) 2007 Pira International
File 485:Accounting & Tax DB 1971-2008/Jan W2
(c) 2008 ProQuest Info&Learning

Set	Items	Description
S1	1114244	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	1114244	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES)(2N)(TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	253351	SUBJECTIVE()(INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	265044	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT()(END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL)()REPRESENTATION
S5	279	S4(S)(S2(10N)S3)
S6	107	S4(20N)(S2(7N)S3)
S7	44	S6 NOT PY>1998
S8	44	S7 NOT PD=19981017:20080229
S9	30	RD (unique items)

9/3,K/8 (Item 1 from file: 636)
DIALOG(R)File 636:Gale Group Newsletter DB(TM)
(c) 2008 The Gale Group. All rts. reserv.

03281349 Supplier Number: 46737002 (USE FORMAT 7 FOR FULLTEXT)
Conglomerates in Korea Offer a Different business Environment
Korea Economic Daily, pN/A
Sept 24, 1996
Language: English Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 955

... to the public and can engage in less favouritism. Laws have been introduced with a view to making financial transactions more transparent. A main reason why families will have to rely more on professional management, however, is that the companies...

9/6/1 (Item 1 from file: 621)
01410433 Supplier Number: 46581710 (USE FORMAT 007 FOR FULLTEXT)
**KILLEARN PROPERTIES ISSUES CLARIFICATION CONCERNING THE PROPOSAL OF
PROACTIVE TECHNOLOGIES**
July 29, 1996
Word Count: 303

9/6/2 (Item 2 from file: 621)
01410432 Supplier Number: 46581709 (USE FORMAT 007 FOR FULLTEXT)
KILLEARN PROPERTIES, INC. REPORTS RESULTS
July 29, 1996
Word Count: 340

9/6/3 (Item 3 from file: 621)
01341023 Supplier Number: 46102848 (USE FORMAT 007 FOR FULLTEXT)
RALLY'S HAMBURGERS, INC. ANNOUNCES DEBT REPURCHASE
Jan 29, 1996
Word Count: 290

9/6/4 (Item 4 from file: 621)
01225399 Supplier Number: 43958083 (USE FORMAT 007 FOR FULLTEXT)
**MINVEN GOLD CORP. ANNOUNCES PRIVATE PLACEMENT FINANCING AND CORPORATE
CAPITAL RESTRUCTURING**
July 8, 1993
Word Count: 1043

9/6/5 (Item 5 from file: 621)
01149150 Supplier Number: 41719801 (USE FORMAT 007 FOR FULLTEXT)
GOLDEN OIL AND COBB RESOURCES ANNOUNCE MERGER AGREEMENT
Dec 5, 1990
Word Count: 360

9/6/6 (Item 1 from file: 624)
0103215
FALLING DEMAND FOR PVC CAUSES CONCERN IN INDIAN INDUSTRY
December 8, 1988
WORD COUNT: 169

9/6/7 (Item 1 from file: 634)
08089103
IBM PACT SENDS ADOBE STOCK TO NEW HIGH
Wednesday, March 29, 1995
Word Count: 230

9/6/8 (Item 1 from file: 636)
03281349 Supplier Number: 46737002 (USE FORMAT 7 FOR FULLTEXT)
Conglomerates in Korea Offer a Different business Environment
Sept 24, 1996
Word Count: 955

9/6/9 (Item 2 from file: 636)
02487607 Supplier Number: 44992488 (USE FORMAT 7 FOR FULLTEXT)
NEW RULE ON REVOKING ACH DEBITS DOESN'T SATISFY SECURITIES INDUSTRY
Sept 14, 1994
Word Count: 380

9/6/10 (Item 3 from file: 636)
02177801 Supplier Number: 44099781 (USE FORMAT 7 FOR FULLTEXT)

LATIN AMERICA: KEEPING LONG TERM CAPITAL COULD BE DIFFICULT

Sept 15, 1993

Word Count: 442

9/6/11 (Item 1 from file: 810)
0887814 BW1166

CONSUMERS PACKAGING: Consumers Packaging to Acquire U.S. Affiliate for
US\$54.3 Million - Operating efficiencies expected from acquisition of
Glenshaw Glass

July 31, 1998

9/6/12 (Item 2 from file: 810)
0506268 BW1067

MEDALLIANCE: MEDALLIANCE SENDS DISPUTE TO ARBITRATION

August 03, 1995

9/6/13 (Item 3 from file: 810)
0427983 BW1142

BONAVENTURE RESOURCES: Bonaventure Resources reaches agreement on Oro
Blanco

September 6, 1994

9/6/14 (Item 4 from file: 810)
0425678 BW1232

DOLLAR GENERAL 2: Dollar General stabilizes block of common stock

August 22, 1994

9/6/15 (Item 5 from file: 810)
0364727 BW654

SURGICAL CARE AFFIL: Surgical Care Affiliates seeks merger discussions with
Medical Care America; would exchange 1.35 SCA shares for each Medical
Care share

October 25, 1993

9/6/16 (Item 6 from file: 810)
0359293 BW224

BOLSA CHICA CO: The Bolsa Chica Co. acquires domestic real estate
development operations of the Koll Co.

September 30, 1993

9/6/17 (Item 7 from file: 810)
0312391 BW693

PREMIER ANESTHESIA: Premier Anesthesia acquires National Pain Institute;
management sees anti-dilutive impact

January 4, 1992

9/6/18 (Item 8 from file: 810)
0311905 BW679

**BESICORP: Besicorp Group announces merger deliberations, termination of
Corning project**

December 24, 1992

9/6/19 (Item 1 from file: 813)
0948636 NYSU011

READING & BATES CORPORATION MAKES ANNOUNCEMENT REGARDING TRANSOCEAN ASA

DATE: May 12, 1996
WORD COUNT: 1,166

9/6/20 (Item 2 from file: 813)
0809033 NY081A

JOHN H. GUTFREUND, FORMER SALOMON CHAIRMAN, SUES TIME INC.

DATE: April 18, 1995
WORD COUNT: 721

9/6/21 (Item 3 from file: 813)
0280854 NY077

NASTA INTERNATIONAL POSTPONES ANNUAL MEETING OF STOCKHOLDERS

DATE: June 25, 1990
WORD COUNT: 278

9/6/22 (Item 4 from file: 813)
0273030 NY065

NASTA INTERNATIONAL RECEIVES AN OFFER FROM TYCO TOYS

DATE: May 30, 1990
WORD COUNT: 168

9/6/23 (Item 1 from file: 13)
00582921 Supplier Number: 24189484 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Why Moody's Has a Positive View of Banks' Acquisitions of Securities Firms:
1st of 2 parts
Spring 1998
WORD COUNT: 2500

9/6/24 (Item 2 from file: 13)
00523926 Supplier Number: 23752758 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Strategies for Selling and Consolidating Physician Practices
January 1997
WORD COUNT: 2370

9/6/25 (Item 1 from file: 75)
00174065 SUPPLIER NUMBER: 16124490 (USE FORMAT 7 FOR FULL TEXT)
The spinoff and merger ex-date effects.
June, 1994
WORD COUNT: 12578 LINE COUNT: 00987

9/6/26 (Item 2 from file: 75)
00154884 SUPPLIER NUMBER: 13628224 (USE FORMAT 7 FOR FULL TEXT)
One market? Stocks, futures, and options during October 1987. (Papers and

Proceedings of Fifty-Second Annual Meeting: American Finance Association)
July, 1992
WORD COUNT: 10503 LINE COUNT: 00815

9/6/27 (Item 1 from file: 485)
** FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 **
00669103
Why Moody's has a positive view of banks' acquisitions of securities firms
WORD COUNT: 4460 LINE COUNT: 405
Spring 1998

9/6/28 (Item 2 from file: 485)
** FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 **
00655051
Comparative financing costs for competitive and negotiated Pennsylvania
school district bonds WORD COUNT: 6576 LINE COUNT: 598
Winter 1998

9/6/29 (Item 3 from file: 485)
** FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 **
00341785
Levelling the Playing Field WORD COUNT: 4016 LINE COUNT: 365
Jan 1992

9/6/30 (Item 4 from file: 485)
00135036
Checking Out the Options
Jul 11, 1983

? show files;ds
 File 267:Finance & Banking Newsletters 2008/Jan 14
 (c) 2008 Dialog
 File 268:Banking Info Source 1981-2008/Jan W2
 (c) 2008 ProQuest Info&Learning
 File 625:American Banker Publications 1981-2008/Jan 22
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 File 635:Business Dateline(R) 1985-2008/Jan 19
 (c) 2008 ProQuest Info&Learning
 File 626:Bond Buyer Full Text 1981-2008/Jan 22
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 File 47:Gale Group Magazine DB(TM) 1959-2008/Jan 14
 (c) 2008 The Gale group
 File 95:TEME-Technology & Management 1989-2008/Jan W2
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 (c) 2007 Reed Business Information Ltd.
 File 370:Science 1996-1999/Jul W3
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 (c) 2008 ProQuest Info&Learning
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 File 647:CMP Computer Fulltext 1988-2008/Jan W1
 (c) 2008 CMP Media, LLC
 File 674:Computer News Fulltext 1989-2006/Sep W1
 (c) 2006 IDG Communications

Set	Items	Description
S1	348726	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S2	348726	(STOCK OR STOCKS OR BONDS OR FINANCIAL OR INVESTMENT OR INVESTMENTS OR SECURITIES OR EQUITIES) (2N) (TRADING OR TRADE? ? - OR BUYING OR PURCHAS??? OR SELLING OR SALE OR TRANSACTION OR - TRANSACTIONS OR DEALS OR DEALING) OR PORTFOLIO()MANAGEMENT
S3	76624	SUBJECTIVE() (INPUT OR PARAMAT??? OR DATA) OR MOTIVAT??? OR MOTIVATIONS OR REASON OR REASONS OR MENTAL()IMPRESSIONS OR RATIONALE OR RATIONALES OR OPINION OR OPINIONS OR FEELING OR FEELINGS OR HUNCH OR HUNCHES OR SENTIMENT?
S4	78805	INTERFACE OR DISPLAY OR SHOW OR WINDOW OR SCREEN OR PAGE OR VIEW OR FRONT() (END OR ENDS) OR FRONTEND OR FRONTENDS OR GUI OR (GRAPHIC?? OR SYMBOL?? OR VISUAL) ()REPRESENTATION
S5	166	S4(S) (S2(10N)S3)
S6	57	S5 NOT PY>1998
S7	55	S6 NOT PD=19981017:20080229
S8	54	RD (unique items)
S9	53	S4(20N) (S2(7N)S3) #
S10	23	S9 NOT PY>1998
S11	23	S10 NOT PD=19981017:20080229
S12	23	RD (unique items)

12/3,K/2 (Item 1 from file: 625)
DIALOG(R)File 625:American Banker Publications
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0195464

**Stock Picker Has Tough Acts to Follow: At 32, Christopher Davis Already Can
Point to a Strong Track Record**

American Banker - March 4, 1997; Pg. 21\ ; Vol. 162, No. 42

DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1,169

BYLINE:

By TANIA PADGETT

TEXT:

...and great names. There is too much trading and not enough
sticking to the good reasons that had (them) buying a stock in the
first
place."

Floyd A. Brown, host of a financial talk show on WGN in Chicago
agrees,
and also points to the company's long tradition of...

12/6/1 (Item 1 from file: 268)
00335496 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Why Moody's has a positive view of banks' acquisitions of securities firms
Spring 1998
WORD COUNT: 04460

12/6/2 (Item 1 from file: 625)
0195464
Stock Picker Has Tough Acts to Follow: At 32, Christopher Davis Already Can Point to a Strong Track Record
March 4, 1997

12/6/3 (Item 2 from file: 625)
0150801
A Big Business by Almost Any Measure
September 28, 1994

12/6/4 (Item 3 from file: 625)
0134710
*** Loan Pricing Guru Lights Path for Banks**
May 12, 1993

12/6/5 (Item 1 from file: 635)
0649392 96-06066
Edwards goal: \$1B
PUBL DATE: 951103
WORD COUNT: 1,325

12/6/6 (Item 2 from file: 635)
0507871 94-62154
Kmart founder honored
PUBL DATE: 940529
WORD COUNT: 843

12/6/7 (Item 3 from file: 635)
0447970 94-00769
Deal OK boosts Waste Recovery
PUBL DATE: 931029
WORD COUNT: 1,035

12/6/8 (Item 4 from file: 635)
0443539 93-96168
Surgical Care Affiliates seeks merger discussions with Medical Care America
PUBL DATE: 931025
WORD COUNT: 1,683

12/6/9 (Item 5 from file: 635)
0435469 93-87871
Insiders sell large blocks of Comair
PUBL DATE: 930923
WORD COUNT: 284

12/6/10 (Item 6 from file: 635)
0356872 93-07049
Premier Anesthesia acquires National Pain Institute
PUBL DATE: 930104
WORD COUNT: 712

12/6/11 (Item 7 from file: 635)
0238437 91-62323
Iacocca Aims to Save Chrysler -- Again
PUBL DATE: 910906
WORD COUNT: 933

12/6/12 (Item 8 from file: 635)
0022314 87-00997
Insiders Make Mockery of SEC
PUBL DATE: 860908
WORD COUNT: 1,229

12/6/13 (Item 1 from file: 626)
0205192
Competitive Bond Offerings
September 2, 1998

12/6/14 (Item 2 from file: 626)
0194459
Competitive Bond Offerings
October 2, 1997

12/6/15 (Item 3 from file: 626)
0155031
NEWS DIGEST
August 18, 1994

12/6/16 (Item 4 from file: 626)
0087953
At Least 4 States Are Pooling Resources In Joint Inquiry Into Buchanan Defaults
April 4, 1988

12/6/17 (Item 5 from file: 626)
0087917
At Least Four States Said to Join Forces In Their Investigations Of Buchanan Defaults
March 31, 1988

12/6/18 (Item 1 from file: 47)
04231048 SUPPLIER NUMBER: 16816142 (USE FORMAT 7 OR 9 FOR FULL TEXT)
A penny for your stocks. (buying penny stocks)
May, 1995
WORD COUNT: 1982 LINE COUNT: 00159

12/6/19 (Item 2 from file: 47)
03006544 SUPPLIER NUMBER: 05216042 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Outsiders's guide to insider moves. (buying stock) (includes related article on legality)
Oct, 1987
WORD COUNT: 3798 LINE COUNT: 00296

12/6/20 (Item 1 from file: 484)
02326548 (USE FORMAT 7 OR 9 FOR FULLTEXT)
A penny for your stocks
May 1995
WORD COUNT: 1912 LENGTH: Long (31+ col inches)

12/6/21 (Item 2 from file: 484)
01782973 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Keep your firm in the family
1994
WORD COUNT: 2418 LENGTH: Long (31+ col inches)

12/6/22 (Item 1 from file: 553)
02034515 H.W. WILSON RECORD NUMBER: BWBA91034515
Evidence on tax-motivated securities trading behavior.
AUGMENTED TITLE: tax-loss selling at the end of the year
Mar. '91

12/6/23 (Item 1 from file: 647)
00635961 CMP ACCESSION NUMBER: EET19890710S4086
Apple sells off Adobe stock Cupertino, Calif. - What started out as a
trial separation in font... (Late News)
PUBLICATION DATE: 890710
WORD COUNT: 1542